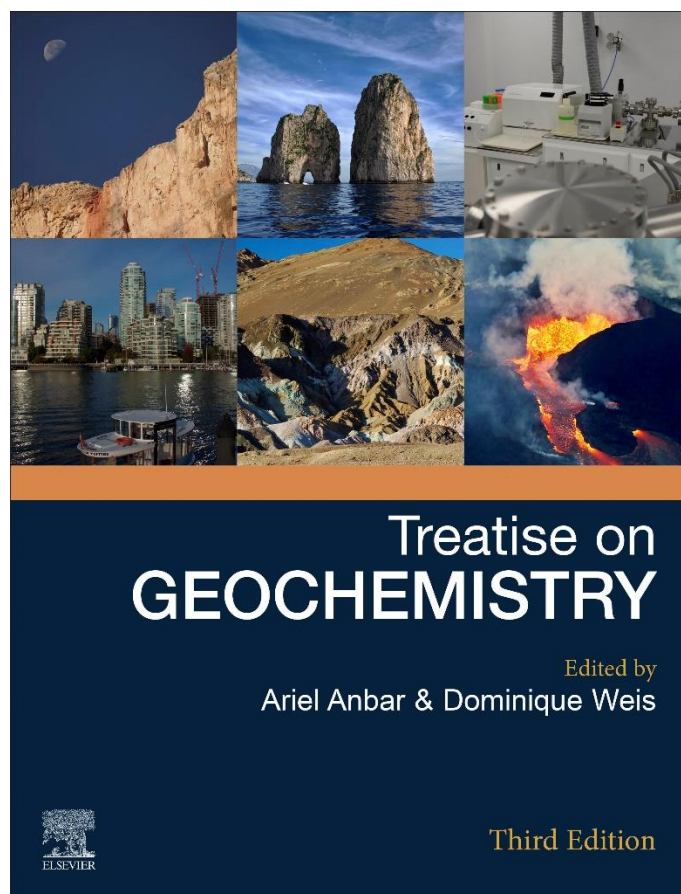


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Michalski, G. (2025) Oxygen and nitrogen stable isotopes in reactive nitrogen compounds in the troposphere: A new tool for understanding tropospheric photochemistry. In: Weis, D and Anbar, A. (eds.) *Treatise on Geochemistry*, 3e. vol. 3, pp. 469-497. UK: Elsevier.

[dx.doi.org/10.1016/B978-0-323-99762-1.00134-0](https://doi.org/10.1016/B978-0-323-99762-1.00134-0)

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# Oxygen and nitrogen stable isotopes in reactive nitrogen compounds in the troposphere: A new tool for understanding tropospheric photochemistry

**Greg Michalski**, Department of Earth, Atmospheric, and Planetary Sciences, Purdue University, West Lafayette, IN, United States; Department of Chemistry, Purdue University, West Lafayette, IN, United States

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This chapter has been reviewed by the section editor Adina Paytan.

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## Abstract

Reactive nitrogen compounds in the troposphere plays a key role in air pollution formation (and the negative health impacts related to poor air quality), climate, acidification of rain, and the movement of key elements in biogeochemical cycles. It is therefore important to understand the sources of nitrogen oxides ( $\text{NO}_x$ ), both natural and anthropogenic, and their magnitudes. Likewise, it is vital to understand the reaction pathways that oxidize  $\text{NO}_x$  into nitric acid, particulate nitrate, and other N compounds with higher oxidation states such as HONO and PAN. Stable isotope abundances have become an increasingly useful tool for both partitioning  $\text{NO}_x$  sources and probing their oxidation mechanisms. This review chapter covers recent advances in understanding variation stable isotopes abundances of  $\text{NO}_y$  compounds. First, isotope abundance nomenclature for reporting variations in nitrogen and oxygen isotopes is covered, followed by a brief overview of the mechanisms that partition isotopes between reactant and products during kinetic, equilibrium, and photolysis reactions. The importance of isotope mass balance is also addressed, as is using Rayleigh distillation models to understand isotope partitioning as a function of reaction progress. The chapter then examines the observed nitrogen isotope variations in  $\text{NO}_x$ ,  $\text{HNO}_3$ ,  $\text{NO}_3^-$  (aq), aerosol  $\text{NO}_3^-$ , and oxygen isotope variations in important tropospheric oxidants ( $\text{HO}_x$ ,  $\text{H}_2\text{O}$ ,  $\text{O}_3$ ,  $\text{O}_2$ ) as well as  $\text{NO}_x$  and  $\text{NO}_y$  compounds. Both mass dependent isotope variations and  $^{17}\text{O}$  anomalies propagated by ozone's mass independent isotope effect are discussed. Recent experimental and theoretical research aimed at explaining the observed

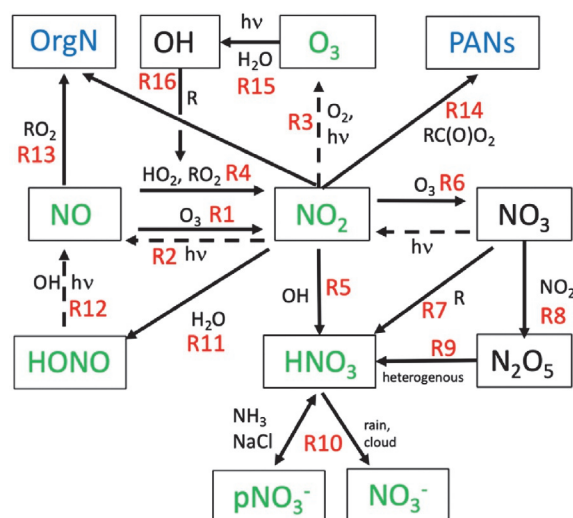
isotope variation in  $\text{NO}_y$  are then examined followed by a brief review of computer modeling advances, from 0-D box models to 3-D isotope enabled chemical transport models, that try to simulate these isotope observations. The chapter concludes with some thoughts on unresolved research questions that should be addressed in future research.

### Keywords

Isotope mass-dependent effects; Nitrogen; Oxygen; The Rayleigh model; Troposphere; Tropospheric oxidants; Tropospheric photochemistry

## Introduction: Reactive nitrogen compounds in the troposphere

Reactive nitrogen compounds in the troposphere plays a key role in air pollution formation (and the negative health impacts related to poor air quality), climate, acidification of rain, and the movement of key elements in biogeochemical cycles. Much of reactive nitrogen is  $\text{NO}_x$  ( $\text{NO} + \text{NO}_2$ ), which drives tropospheric oxidation chemistry, acting as a catalyst in the production of  $\text{O}_3$  in the presence of volatile organic compounds (VOCs) (Finlayson-Pitts and Pitts, 2000; Monks, 2005). In turn,  $\text{O}_3$  photolysis generates OH radicals that initiate a radical chain reaction in the troposphere, propagated by VOC radicals, which accelerates the oxidation of reduced compounds and thus cleanses the atmosphere (Finlayson-Pitts and Pitts, 2000; Huang et al., 2020; Kim et al., 2023; Monks, 2005). During this process,  $\text{NO}_x$  is ultimately oxidized into higher N oxides collectively known as  $\text{NO}_y$  ( $\text{NO}_x + \text{NO}_3$ ,  $\text{N}_2\text{O}_5$ ,  $\text{HNO}_3$ ,  $+\text{HNO}_4 + \text{HONO} + \text{Peroxyacetyl nitrates (PANs)} + \text{organic nitrates (OrgN)}$ ) as well as particulate nitrate ( $\text{pNO}_3^-$ ) and  $\text{NO}_3^-$  in rain ( $\text{NO}_3^-_{\text{(aq)}}$ ) (Fig. 1). As a strong acid, atmospheric  $\text{HNO}_3$  acidifies rain, and  $\text{NO}_x$  oxidation has become a major contributor to the acid rain problem and the acidification of aerosols (Lynch et al., 2000), which is known to have many negative environmental impacts (Brimblecombe et al., 2007). Poor air quality in urban areas, also known as SMOG (SMoke and fOG) is characterized by high levels of  $\text{O}_3$ , PAN, and particulate matter, including  $\text{pNO}_3^-$  and secondary organic aerosols produced during incomplete VOC oxidation. These compounds affect the human respiratory system, causing health problems and mortality in susceptible populations (Lighty et al., 2000) and, according to the World Health Organization, air pollution is the leading cause of environmental mortality, accounting for an estimated 7 million premature deaths annually (Lelieveld et al., 2015; Schwartz and Neas, 2000). Aerosols also have a pronounced impact on cloud physics, enhancing the absorption/reflection of solar radiation and are the largest sources of uncertainty in current climate models that predict future warming due to greenhouse gases (Chen et al., 2021; Leibensperger et al., 2012). Another important component of tropospheric reactive nitrogen is  $\text{NH}_3/\text{NH}_4^+$ , which acts primarily as a base that neutralizes tropospheric acids such as  $\text{HNO}_3$  and  $\text{H}_2\text{SO}_4$  (Pan et al., 2020). This neutralization can be in the gas phase with  $\text{HNO}_3$  generating new, small particles of  $\text{NH}_4\text{NO}_3(\text{s})$  or in the liquid phase (cloud, fog, rain), helping to raise pH, minimizing rain acidification, and its environmental impacts. Deposition of tropospheric reactive N can occur through dry deposition of  $\text{pNO}_3^-$  (including  $\text{NH}_4\text{NO}_3(\text{s})$ ),  $\text{HNO}_3$ ,  $\text{NH}_3$ , and  $\text{NO}_x$  and by wet deposition of  $\text{NO}_3^-$  and  $\text{NH}_4^+$  via rain (rainout and washout) and fog deposition. Nitrogen deposition impacts N biogeochemistry and leads to shifts in biodiversity (Tilman et al., 1996), soil acidification (Fenn et al., 2003), poor vegetation health (Aber et al., 1998), lake eutrophication (Chapman and Edwards, 1999), and



**Fig. 1** The photochemical pathways (R1-16) where the primary oxidants  $\text{O}_3$  and OH partition  $\text{NO}_x$  into various higher N oxides, collectively known as  $\text{NO}_y$ . VOCs (denoted as R) and uses  $\text{NO}_x$  as a catalyst R4, elevating  $\text{O}_3$  above levels expected from the basic  $\text{NO}_x$  cycle (R1-3).  $\text{NO}_x$  is ultimately transformed into acids, particles, organic nitrates, and PAN that with high ozone levels defines poor air quality. Isotope effects occurring during this complex chemistry can be used to evaluate the relative importance of the photochemical oxidation pathways and help constrain  $\text{NO}_x$  sources. Compounds in green have been measured for their isotopic composition, compounds in blue will likely be analyzed in the near future.

development of coastal “dead zones” (Paerl et al., 2001; Paerl et al., 2002). Therefore, understanding sources of reactive N and photo-oxidation chemistry that transforms it into water-soluble N compounds is important for several sub-disciplines within environmental science and for understanding how this chemistry impacts society at large.

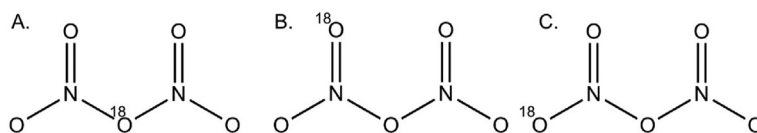
Stable isotopes of N and O have proven to be useful tools for understanding sources of N compounds emitted to the atmosphere and the photo-oxidation chemistry that converts them into highly soluble oxides that are subsequently removed from the atmosphere. This chapter presents an overview of the current state of knowledge about stable isotopes in highly reactive N compounds in the atmosphere. Isotopes in chemically, relatively stable gases, such as  $\text{H}_2\text{O}$ ,  $\text{CO}_2$ ,  $\text{CH}_4$ , and  $\text{N}_2\text{O}$  that are important greenhouse gases are discussed in separate chapters in this anthology. Sulfur and oxygen isotopes in  $\text{SO}_x$  cycling have recently been reviewed (Zhao et al., 2021) and will not be discussed here due to space limitations. The chapter focuses on tropospheric isotopes and not on the details of stratospheric isotope chemistry such as ozone formation via Chapman cycling. Most published isotopic measurements of reactive N compounds are of atmospheric nitrate ( $\text{NO}_{3\text{atm}}$ ), a term that groups  $\text{HNO}_{3(\text{g})}$ ,  $\text{NO}_3^-$  (aq) and particulate  $\text{NO}_3^-$  ( $\text{pNO}_3^-$ ) together because it is challenging to distinguish the unique forms during sampling (Michalski et al., 2003). For example,  $\text{NO}_3^-$  (aq) measured in rainwater is a combination of cloud water  $\text{NO}_3^-$  and the washout of both  $\text{HNO}_{3(\text{g})}$  and  $\text{pNO}_3^-$  in the boundary layer. Similarly, during the collection of  $\text{pNO}_3^-$  on filter paper,  $\text{HNO}_{3(\text{g})}$  can react on the substrate or aerosol accumulating on the substrate. However, recent techniques have begun to measure  $\text{NO}_x$  (Albertin et al., 2021; Walters et al., 2018),  $\text{HONO}$  (Chai and Hastings, 2018), and separated phases of  $\text{NO}_{3\text{atm}}$  (Blum et al., 2020) and other forms of  $\text{NO}_y$  are sure to follow. This chapter begins with a discussion of the effects that partition stable isotopes between products and reactants during photo-chemical (and biological) reactions, commonly referred to as isotope fractionation. Next is a discussion of how isotope fractionation, both chemical and biological, results in different isotope compositions of reduced N compounds emitted to the atmosphere from both natural and anthropogenic activities. A survey of direct measurements of isotope abundances in these emitted compounds is discussed in this context. This is followed by a discussion of how isotope fraction during photo-oxidation alters the initial isotopic composition of these emitted N compounds in time and space. A review of isotope measurements of the oxidized products, mainly  $\text{NO}_3^-$  is discussed in this context. This is followed by a discussion on the state of modeling stable isotope systematics, from simple 0-D photochemical box models to 3-D chemical transport models that will be invaluable for understanding isotope observations in the real world. The chapter concludes with a discussion on the research needs moving forward to maximize the use of stable isotopes in understanding reactive N compounds in not only the Earth's atmosphere but other planetary atmospheres as well.

### Stable isotope abundance nomenclature, fractionations, and mass balance

During N oxidation photochemistry, naturally occurring stable isotopes of oxygen are distributed among the stable isotopes of N, forming different isotopic structures known as isotopologues and isotopomers (Alan and Andrew, 1997). An isotopologue, or isotopic homologue, is a compound with the same chemical formula and structure but with a different mass due to isotopic substitution at the same position within the molecule. For example, nitrogen dioxide has two stable nitrogen isotopologues:  $^{14}\text{NO}_2$  (45.99290 amu) and  $^{15}\text{NO}_2$  (46.98994 amu). Isotopomers are isotopic isomers, compounds with the same chemical formula and the same mass but a different structure due to the position of the isotopic substitution, such as  $^{18}\text{O}$  substituted dinitrogen pentoxide (Fig. 2). Isotopomers can, but not always, have different symmetries (Fig. 2), which can play a role in mass independent isotope fractionations (see Section “Mass-independent isotope effects in photochemical systems”), and the larger the isotopologue, the larger the number of possible isotopomers.

#### $\delta$ and $\Delta$ notation

The isotope abundances in isotopologues are measured as ratios (R) and reported using delta notation ( $\delta$ ). By convention, the ratios are the less abundant isotope relative to the main isotope, e.g.,  $^{15}\text{R} = ^{15}\text{N}/^{14}\text{N}$ ,  $^{18}\text{R} = ^{18}\text{O}/^{16}\text{O}$ , and  $^{17}\text{R} = ^{17}\text{O}/^{16}\text{O}$ . The ratios are measured with respect to internationally accepted reference materials (Coplen and Shrestha, 2016) distributed by the International Atomic Energy Agency (IAEA), the National Institute for Science and Technology (NIST, USA), or the US Geological Survey (USGS). These reference materials are, in turn, calibrated relative to a set of globally accepted reference ratios, which are air  $\text{N}_2$  for nitrogen isotopes and standard mean ocean water (SMOW) for oxygen isotopes. The isotope delta ( $\delta$ ) is the difference between the measured ratio and the reference ratio, normalized to the reference ratio, and usually (but not always) scaled by 1000 and reported in parts per thousand (‰) or “per mil”:



**Fig. 2** Three isotopomers of the singly  $^{18}\text{O}$  substituted dinitrogen pentoxide isotopologue (where all N =  $^{14}\text{N}$  and O =  $^{16}\text{O}$ ). The B and C isotopomers have reduced molecular symmetry ( $\text{C}_s$ ) compared to the A isotopomer and unsubstituted  $\text{N}_2\text{O}_5$  ( $\text{C}_{2v}$ ). The two other isotopomers, formed by moving the  $^{18}\text{O}$  from B or C to the right-hand side N, are degenerate with B and C and thus identical via rotation about the central O atom linking the N's.

$$\delta (\text{‰}) = (R_{\text{sample}} - R_{\text{ref}}/R_{\text{ref}}) 1000 \quad (1)$$

Delta values can be positive or negative: In tropospheric waters, for example, the  $\delta^{18}\text{O}$  averages  $\sim -10\text{‰}$  relative to SMOW, while air  $\text{O}_2$  is about  $+23\text{‰}$ . Here, and subsequently, I adopt the  $\delta$  definition of  $\delta^{15}\text{N}_{\text{O}_y} = (\delta^{15}\text{N} \text{ value of any } \text{NO}_y \text{ compound})$  and the same for other compounds ( $\text{NH}_3$ ,  $\text{O}_3$ , etc.) and isotope deltas ( $\delta^{18}\text{O}$ ) to minimize verbosity.

A difference between two “little deltas” are often quantified by a “big” or “CAPital” delta ( $\Delta$ ), where the difference can be either a measured, modeled, or theoretical difference. For example, the measured difference between the measured  $\delta^{15}\text{N}$  of gaseous  $\text{HNO}_3$  and  $\text{pNO}_3^-$ , which is sometimes called an isotope *discrimination factor*, and is denoted as  $^{15}\Delta_{\text{g-p}}$  (Liu et al., 2020). When more than one isotope is in the system,  $\Delta$  can be used to quantify any deviation between the measured value and the theoretical values based on the normal mass dependence of isotope partitioning (Miller, 2002). For example, there are three stable isotopes of oxygen, and the normal mass-dependent isotope effect is approximately  $\delta^{17}\text{O} = 0.52 \bullet \delta^{18}\text{O}$  (see below). The  $\Delta^{17}\text{O} = \delta^{17}\text{O} - 0.52 \bullet \delta^{18}\text{O}$  is then a measure of the  $^{17}\text{O}$  excess relative to what is theoretically expected based on mass-dependent isotope fractionations. A non-zero  $\Delta^{17}\text{O}$  can arise from either mass independent fractionations (MIF) or the transfer of MIF compounds to secondary compounds during photochemical reactions (see Section “Mass-independent isotope effects in photochemical systems”). The  $\Delta$  has also been used to quantify the difference between a measured  $\delta$  and that theoretically assuming isotope equilibrium and is thus a measure of the kinetic isotope effect in systems where both effects can play a role (Young et al., 2002). Indeed, the variations in the well-known “deuterium excess” observed in global hydrology (Gat, 1996) could be quantified using  $\Delta$  notation as  $\Delta\text{D} = \delta\text{D} - 8 \bullet \delta^{18}\text{O}$ , where  $\delta\text{D} = 8 \bullet \delta^{18}\text{O}$  is expected for water vapor in isotope equilibrium with liquid water.

### Mass-dependent isotope effects in photochemical systems

During the photochemical oxidation of reduced N compounds in the atmosphere, there are differences in isotopologue rate constants leading to differences in the partitioning of isotopes between products and reactants, a process known as isotopic fractionation. These isotope fractionation effects can be classified into three well-known effects: kinetic isotope effects (KIE), equilibrium isotope effects (EIE), and vapor pressure isotope effects (VPIE). A KIE is a relative change in the rate of a unidirectional chemical reaction when one of the atoms of the reactants is substituted with an isotope (Bigeleisen and Wolfsberg, 1958). KIEs are driven mainly by differences in the two isotopologue reactants’ zero-point and activation energy required to proceed through a transition state to products (Wolfsberg et al., 2010) and isotopologue differences in quantum mechanical tunneling probabilities (mainly for hydrogen isotopologues). This generally results in lighter isotopologues reacting faster than heavier ones. Much of the early research on KIEs were investigations about reactions containing hydrogen isotopes (see Kaye, 1992) and these studies usually defined a  $\text{KIE} = k_{\text{L}}/k_{\text{H}} = \alpha_{\text{L/H}}$ , where the  $k$ ’s are the rate constants for the light (L) and heavy (H) isotopologues and  $\alpha$  is called the isotope fractionation factor that is a number that is usually very close to 1 ( $\pm 0.1$ ). This is the inverse of the definition of  $\alpha$  usually defined in research dealing with EIE and VPIE, and this inversion can lead to confusion. To maintain consistency between the  $\alpha$  values for EIE, KIE, and VPIE,  $\alpha$  will be defined here as heavy/light for all isotope effects. Gas diffusion induces a KIE, with light isotopologues unidirectionally diffusing faster than heavy ones across a concentration gradient, which may be important in atmospheric chemistry when considering the uptake of gases by atmospheric droplets (rain, cloud, or fog) or on aerosol surfaces. Urey (1947) and Bigeleisen and Mayer (1947) showed that EIEs are driven by the sensitivity of molecular vibrational frequencies to isotopic substitutions (Bigeleisen and Mayer, 1947; Urey, 1947). Since vibrations are used in molecular partition functions to calculate equilibrium constants, isotopic substitution results in isotopologues having different equilibrium constants. Analogous to the KIE, the  $\text{EIE} = K_{\text{H}}/K_{\text{L}} = \alpha_{\text{H/L}}$  where the  $K$ s are the heavy and light isotopologue equilibrium constants and  $\alpha_{\text{H/L}}$  is the isotope equilibrium fractionation factor, which is temperature dependent. Isotopologues also partition differently between phases giving rise to the VPIE, which is a special case of EIE. In the VPIE, in addition to intermolecular vibrations one must account for the intramolecular vibrations that also play a role phase change equilibrium (Bigeleisen, 1961; Van Hook et al., 2001). This is most notable in gas-liquid systems and has been used extensively to understand water isotopologue fractionations in the hydrologic cycle (Gat, 1996). The VPIE may be important in reactive N compounds in the atmosphere via equilibrium between isotopologues in the gas phase and those in cloud or rain droplets such as  $\text{HNO}_{3(\text{g})} \leftrightarrow \text{HNO}_{3(\text{aq})} \leftrightarrow \text{H}^+ + \text{NO}_3^-$ . The VPIE can also occur in gas-solid equilibrium and may be relevant for reactions such as  $\text{HNO}_{3(\text{g})} + \text{NH}_{3(\text{g})} \leftrightarrow \text{NH}_4\text{NO}_{3(\text{s})}$ , whose temperature-dependent equilibrium can shift dramatically diurnally (Morino et al., 2006) and seasonally (Paulot et al., 2016).

The  $\alpha$  values for KIE, EIE, and VPIE can be determined either by experiment or by calculations. Experimental  $\alpha$  values have been determined for some of the basic N reactions relevant to tropospheric chemistry (Friedman and Bigeleisen, 1950; Li et al., 2020; Walters et al., 2016). Tropospheric chemistry is, more often than not, characterized by reactions involving radicals and compounds with very low number densities making an experimental determination of  $\alpha$ ’s in all but the simplest reactions a challenge. Instead, it is more common to rely on calculating  $\alpha$ ’s since experimental and theoretical  $\alpha$  values are usually close to each other within a reasonable uncertainty. These calculations require that molecular harmonic frequencies and anharmonicity constants of an isotopologue pair to be known or calculated. For KIE this requires knowing the isotopologue vibrations in the transition state, which is often approximated (Bigeleisen and Wolfsberg, 1958; Young et al., 2002) and occasionally calculated, such as the KIE for the  $\text{NO} + \text{O}_3$  reaction (Walters and Michalski, 2016a). Urey (1947) calculated isotopologue reduced partition functions ( $Q$ ’s) whose



only variables are the harmonic frequencies and temperature and defined the “ $\beta$  value” as the ratio of two isotopologues reduced partition functions. For example, the reduced partition function ratio of nitric oxide N isotopologues is  $Q_{^{15}\text{NO}}/Q_{\text{NO}} = \beta_{\text{NO}}$ , with the heavy isotope placed in the numerator by convention. The isotope exchange fractionation factor is the ratio of two  $\beta$  values and is denoted by  $\alpha_{\beta_1/\beta_2}$ . For example,  $\alpha_{\text{NO}/\text{NO}_2}$  is the temperature-dependent isotope fractionation factor for the



isotope exchange reaction that has values of  $\beta_{\text{NO}} = 1.0669$  and  $\beta_{\text{NO}_2} = 1.1064$  (at 298 K) and  $\alpha_{\text{NO}/\text{NO}_2} = \beta_{\text{NO}}/\beta_{\text{NO}_2} = 0.9643$  (Walters and Michalski, 2015). Accurate isotopologue vibrational constants are difficult to accurately measure for large molecules, and as a result, measurements are mainly limited to diatomic and triatomic isotopologues (Richet et al., 1977). To determine the EIE in larger compounds or radicals, we must rely on quantum chemistry computation methods to estimate the molecular vibrational and anharmonicity constants. Recent works utilizing these methods have estimated the EIE for oxygen and nitrogen isotopes for most non-organic  $\text{NO}_y$  compounds (Walters and Michalski, 2015; Walters and Michalski, 2016b). Also, it is common to define an isotope enrichment factor ( $\epsilon$ ) between products (p) and reactants (r) as  $\epsilon_{p/r} (\text{‰}) = 1000 \ln \alpha \sim 1000(\alpha - 1)$  (Criss, 1999), which expresses the fractionation factor in the same permil notation as isotope abundance differences. In the above example, the isotope enrichment factor for the NO- $\text{NO}_2$  isotope exchange reaction would be  $\epsilon_{\text{NO}/\text{NO}_2} = -35.7\text{‰}$ , which simply means at equilibrium NO would contain 35.7 parts per thousand less  $^{15}\text{N}$  than  $\text{NO}_2$ .

A lesser-known isotope effect that is important in atmospheric systems is the photo-induced isotope fractionation effect (PHIFE). PHIFE is the relative change in the photolysis rate of an isotopologue due to the substitution of a heavier isotope (Yung and Miller, 1997; Miller and Yung, 2000) and can be estimated using a simple zero-point energy shift model ( $\Delta\text{ZPE}$ ). In this approximation, the absorption spectra of the heavier isotopologue are generated by applying a uniform blue shift equal to the  $\Delta\text{ZPE}$  between the heavy and light isotopologue (Blake et al., 2003; Liang et al., 2004; Miller and Yung, 2000). This results in isotopic fractionation because the isotopologue (x) photolysis rate constant ( $j^x$ ) at any wavelength ( $\lambda$ ) depends on the convolution of the wavelength-dependent absorption cross-section ( $\sigma(\lambda)$ ), actinic flux ( $F(\lambda)$ ), and quantum yield ( $\phi(\lambda)$ ) (Eq. 2):

$$j^x(\lambda) = \sigma^x(\lambda)F(\lambda)\phi(\lambda) \quad (2)$$

The overall photolysis rate constant ( $j^x$ ) can be calculated by integrating  $\sigma$ ,  $F$ , and  $\phi$  over a range of wavelengths ( $\lambda_1$  to  $\lambda_2$ ) that can cause the isotopologue to dissociate (when  $\phi \neq 0$ ).

$$j^x = \int_{\lambda_1}^{\lambda_2} \sigma^x(\lambda)F(\lambda)\phi(\lambda) \quad (3)$$

The N isotopologue fractionation ( $\alpha$ ) resulting from photolysis (of  $\text{NO}_2$  isotopologues) is calculated by Eq. (4).

$$\alpha_{47/46} = \frac{j_{47}^{47}}{j_{46}^{46}} \quad (4)$$

It is important to note that there are limitations in the  $\Delta\text{ZPE}$ -shift model (Blake et al., 2003; Liang et al., 2004; Miller and Yung, 2000). These include the failure to account for changes in shape and intensity of absorption spectra upon isotopic substitution, and the quantum yield (as a function of wavelength) is assumed to be the same for all isotopologues. Despite these limitations, this approach should still give a rough estimate of photolytic fractionation until experimental or improved theoretical determined PHIFEs become available.

When an element has two or more stable isotopes, their  $\delta$  values have a roughly linear relationship since the KIE, EIE, VPIE, or PHIFE partition between products and reactants as a function of their relative mass differences. For example, oxygen has 3 stable isotopes ( $^{16}\text{O}$ ,  $^{17}\text{O}$ , and  $^{18}\text{O}$ ), and isotope effects quantified by the mass relation equations, in the harmonic approximation, are square roots of either inverse atomic mass (EIE) or reduced atomic mass (KIE) (Young et al., 2002)

$$\alpha_{17/16} = \left( \alpha_{18/16} \right)^\beta \quad (5)$$

$$\beta = \frac{\frac{1}{16} - \frac{1}{17}}{\frac{1}{16} - \frac{1}{18}} (\text{EIE}), \beta = \frac{\ln \left( \frac{16}{17} \right)}{\ln \left( \frac{16}{18} \right)} (\text{KIE}) \quad (6)$$

resulting in the linear equations  $\delta^{17}\text{O} = 0.529 \bullet \delta^{18}\text{O}$  (EIE) or  $\delta^{17}\text{O} = 0.515 \bullet \delta^{18}\text{O}$  (KIE). In a system where both KIE and EIE are at play, such as the troposphere, the  $\beta$  value is taken as 0.52 (Thiemens et al., 2001). The  $\delta^{17}\text{O}$  and  $\delta^{18}\text{O}$  values of many natural compounds have been measured, and the resulting array of  $\delta^{17}\text{O} = 0.52 \bullet \delta^{18}\text{O}$  is often referred to as the terrestrial (isotope) fractionation line or TFL (Fig. 4).

$$\delta^{17}\text{O} = 0.52 \bullet \delta^{18}\text{O} = \text{TFL} \quad (7)$$

### Mass-dependent isotope effects of N sources

The isotope mass-dependent effects (KIE, EIE, VPIE) occur not only in the atmosphere but also during the chemical and biological production of precursor gases such as  $\text{NO}_x$ . For example, when NO is produced by incomplete bacterial denitrification of  $\text{NO}_3^-$ , the microbes induce a KIE and produce  $^{15}\text{N}$  depleted NO relative to the  $\text{NO}_3^-$  reactant (Li and Wang, 2008). Likewise,  $\text{NO}_x$  produced in internal combustion engines (ICE) tends to produce isotopically light  $\text{NO}_x$ , but this  $\text{NO}_x$  becomes progressively enriched as it is reduced by 3-way catalytic converters in the vehicle's exhaust system (Walters et al., 2015a,b). The result is that some sources of  $\text{NO}_x$  (but not all) tend to have unique  $\delta^{15}\text{N}$  values depending on the KIE, EIE, or VPIE that are in play during their production (Elliott et al., 2019). This "source effect" can be important for understanding  $\delta^{15}\text{N}$  variations in  $\text{NO}_y$  compounds and will be discussed in detail in Section "Isotopes of N in precursor gases  $\text{NO}_x$  and  $\text{NH}_3$ " of this chapter.

### Mass-independent isotope effects in photochemical systems

Isotope effects in photochemical systems are also unique in that they also have been shown to exhibit, in rare cases, mass-independent isotope effects. When KIE, EIE, and PHIFE occur they all follow the mass-dependent isotope fractionation rule of  $\delta^{17}\text{O} = 0.52\delta^{18}\text{O}$  (Eq. 7). This rule has been shown to hold true for most natural compounds in the environment, such as silicates, carbonates, waters, and  $\text{O}_2$  that together make up the 99.999% of surface O on Earth and results in the oxygen isotope terrestrial fractionation line (TFL) in three isotope space (Fig. 4). The notable exception to the mass-dependent isotope fractionation rule (Eq. 7) is the mass-independent fractionation (MIF) that occurs during ozone formation (Heidenreich and Thiemens, 1983; Thiemens and Heidenreich, 1983). This isotope effect has been called "strange" (Gao and Marcus, 2001) because the  $\text{O}_3$  product becomes highly enriched in the  $^{18}\text{O}$  isotopes ( $\delta^{18}\text{O} \sim 100\%$ ) relative to the  $\text{O}_2$  reactant (Mauersberger et al., 1993). Further, and even stranger, the process fractionates "mass independently", i.e., both  $^{17}\text{O}$  and  $^{18}\text{O}$  become equally enriched, with the products and residual reactant falling on a slope 1 line in dual isotope ratio space (Thiemens and Heidenreich, 1983). This deviation between the observed and theoretically expected values is quantified precisely (Eq. 8) but more often approximately (Eq. 9) by (Miller, 2002):

$$\Delta^{17}\text{O} = 1000 \bullet \ln \left( 1 + \frac{\delta^{17}\text{O}}{1000} \right) - \lambda \bullet 1000 \bullet \left( 1 + \frac{\delta^{18}\text{O}}{1000} \right) \quad (8)$$

$$\Delta^{17}\text{O} = \delta^{17}\text{O} - \lambda \bullet \delta^{18}\text{O} \quad (9)$$

A mass-dependent coefficient  $\lambda = 0.52$  is an observational average, while the mass-dependent process for any given reaction can range from 0.505 to over 0.53 depending on whether the system is controlled by KIE or EIE and the mass of the atom to which the isotope is bonded. But these different definitions and coefficient choices have only a minor impact on the resulting  $\Delta^{17}\text{O}$  values, typically less than 1‰. This ozone effect has become known as a MIF: mass-independent fractionation (Thiemens, 2005, 2013; Thiemens et al., 2001). Here MIF has a specific meaning: Reactants, whose isotopic composition lies on the mass-dependent fractionation line, react, and generate products and residual reactants that fall off of the mass-dependent line with positive (negative)  $\Delta^{17}\text{O}$  values (Fig. 4). MIF is a not fully understood chemical process, and to date, the only known significant known oxygen MIF reaction occurs during ozone formation.

An observed  $\Delta^{17}\text{O}$  value, on the other hand, also called a  $^{17}\text{O}$  anomaly, is simply a measure of the  $^{17}\text{O}$  excess observed relative to that expected based on its theoretical mass-dependence relative to  $\delta^{18}\text{O}$ , and this excess is conveniently expressed by Eq. 9. This  $^{17}\text{O}$  anomaly can arise from MIF, but this is rare with ozone formation being the only known chemical MIF. More common is the  $\Delta^{17}\text{O}$  composition being transferred by isotope mass balance. Since ozone is a powerful oxidizer and O atoms can transfer from  $\text{O}_3$  during oxidation reactions involving  $\text{SO}_x$  (Savarino et al., 2000),  $\text{NO}_x$  (Michalski et al., 2014), and  $\text{HO}_x$  (Savarino and Thiemens, 1999b). This generates  $\Delta^{17}\text{O}$  compositions in compounds such as nitrate, sulfate, and hydrogen peroxide during experiments as well as being observed in real-world samples. Tropospheric  $\text{O}_3$   $\Delta^{17}\text{O}$  and  $\delta^{18}\text{O}$  values and those expected based on laboratory experiments will be discussed in Section "Isotopologues of tropospheric oxidants" since these values will be important for understanding the isotopic composition of  $\text{NO}_y$ . While the many theoretical attempts to understand the physics behind the ozone MIF are of great scientific interest (Gao and Marcus, 2001; Marcus, 2004), they are generally not germane to the compounds in this review, and have been reviewed elsewhere (Thiemens, 2013). There is also a MIF in sulfur isotopes generated during UV photolysis of  $\text{SO}_2$ , which has important implications for understanding the rise of oxygen on Earth. But UV photolysis of  $\text{SO}_2$  is not an important process in the Earth's current troposphere, so this effect will not be discussed in this review (Masterson et al., 2011).

### Isotope mass balance

Another important consideration for understanding isotope reaction systematics in the troposphere is maintaining material balance, also known as isotope mass balance. Isotopic fractionation processes do not produce or consume isotopes, rather they partition them between products and reactants. Thus, when considering a system in its entirety, the initial isotope abundances must be conserved. Take, for example, the  $\delta^{15}\text{N}$  value of  $\text{NO}_x$  emitted into the troposphere, mainly as NO, during the daytime in the presence of  $\text{O}_3$ . It reaches a photochemical steady state via the  $\text{NO}_x$  cycle on the order of a few minutes (Fig. 1):



Here  $h\nu$  are photons (with wavelengths less than 420 nm), and M is any gas acting as a collisional third body. Regardless of any isotope fractionation process occurring during R1–R3, isotope mass balance constrains the system (assuming no further oxidation) by:

$$\delta^{15}\text{NO}_x = f_{\text{NO}} \cdot \delta^{15}\text{NO} + f_{\text{NO}_2} \cdot \delta^{15}\text{NO}_2 \quad (10)$$

Where  $f_{\text{NO}}$  and  $f_{\text{NO}_2}$  are the  $\text{NO}_x$  mole fractions of NO and  $\text{NO}_2$  and  $\delta^{15}\text{NO}_x$ ,  $\delta^{15}\text{NO}$ , and  $\delta^{15}\text{NO}_2$  are the  $\delta^{15}\text{N}$  values of  $\text{NO}_x$ , NO, and  $\text{NO}_2$ , respectively. This simple system is commonly called a two-component isotope mixing model (Kendall, 1998) with two (isotopic) “endmembers” ( $\delta^{15}\text{NO}$  and  $\delta^{15}\text{NO}_2$ ). A more general form of isotope mass balance for a system having mole fractions ( $f$ ) and  $\delta$  values for ( $i$ ) number of compounds is,

$$\delta_{\text{total}} = \sum f_i \cdot \delta_i \quad (11)$$

This applies to reactive N in the troposphere as emitted  $\text{NO}_x$  is further oxidized into a variety of  $\text{NO}_y$  ( $i$ ) compounds.

$$\delta^{15}\text{NO}_x \text{ emitted} = \sum f_{\text{NO}_y(i)} \cdot \delta^{15}\text{NO}_{y(i)} \quad (12)$$

This assumes a closed system, where the emitted  $\text{NO}_x$   $\delta^{15}\text{N}$  value ( $\delta^{15}\text{NO}_x \text{ emitted}$ ) is constant and no  $\text{NO}_x$  is transported into or out of the system. In an urban system where the fractions of other  $\text{NO}_y$  compounds are very small relative to  $\text{NO}_x$ ,  $\text{HNO}_3$ , and  $\text{pNO}_3^-$  then:

$$\delta^{15}\text{NO}_x \text{ emitted} = f_{\text{NO}} \cdot \delta^{15}\text{NO} + f_{\text{NO}_2} \cdot \delta^{15}\text{NO}_2 + f_{\text{HNO}_3} \cdot \delta^{15}\text{HNO}_3 + f_{\text{pNO}_3^-} \cdot \delta^{15}\text{NO}_3^- \quad (\text{p}) \quad (13)$$

Thus simultaneous  $\delta^{15}\text{N}$  and concentration measurements of these 4  $\text{NO}_y$  compounds yields the  $\delta^{15}\text{N}$  value of  $\text{NO}_x$  emitted that could then be used to assess the relative contribution of various  $\text{NO}_x$  sources to the system. To date, no study has measured these four simultaneously; rather, one  $\text{NO}_y$  compound is typically measured, and the  $\delta^{15}\text{N}$  value is often interpreted as a proxy for  $\delta^{15}\text{NO}_x \text{ emitted}$ . This interpretation is tenuous for several reasons. First, the  $\text{NO}_y$  fractions are a strong function of local atmospheric conditions. For example, when local isoprene emissions are high, the  $\text{NO}_y$  fractions of organo-nitrates and PAN may be quite high and must be included in Eq. (13). Or after a rain event, the fractions of  $\text{HNO}_3$  and  $\text{pNO}_3^-$  may be very small relative to  $\text{NO}_2$  and NO. Secondly, the system is rarely closed; rather, tropospheric transport by advection and convection occurs at fairly rapid times scales, and thus  $\text{NO}_x$  and  $\text{NO}_y$  measured at one location may be influenced by  $\text{NO}_x$  emissions and  $\text{NO}_y$  photochemistry that occurred elsewhere.

### Isotope partitioning during reaction progress: The Rayleigh model

The partitioning of isotopes between products and reactants as a function of reaction progress is also important for understanding  $\delta$  variations in the troposphere and this partitioning has been modeled using the theory that describes the separation of compounds via distillation proposed by Rayleigh (Rayleigh, 1902). While the Rayleigh distillation equations formally describe equilibrium between different phases (EIE), it has also been used to describe isotope behavior in systems where KIE and PHIFE are the fractionating effects (Rahn et al., 1998). The change in  $\delta$  values in the product and reactant as the reaction progresses depends on whether the system is closed or open (Kendall, 1998). Closed Rayleigh distillations occur in systems where chemical and isotopic equilibrium is always maintained between the products and reactants (or phases), and isotope mass balance is always maintained. Consider gas phase  $\text{HNO}_3$  equilibrating with newly formed cloud droplets forming a solution of  $\text{HNO}_3$ . The delta value of  $\text{HNO}_3$  in the gas ( $\delta_{(g)}$ ) and liquid ( $\delta_{(l)}$ ) phase at any point in the distillation is a function of the initial  $\delta$  value of the gas ( $\delta_{(g)o}$ ), the fraction of  $\text{HNO}_3$  remaining in the gas phase ( $f_{(g)}$ ), and the isotope enrichment factor of the  $\text{HNO}_3$  in the liquid phase relative to the gas ( $\epsilon_{l/v}$ ):

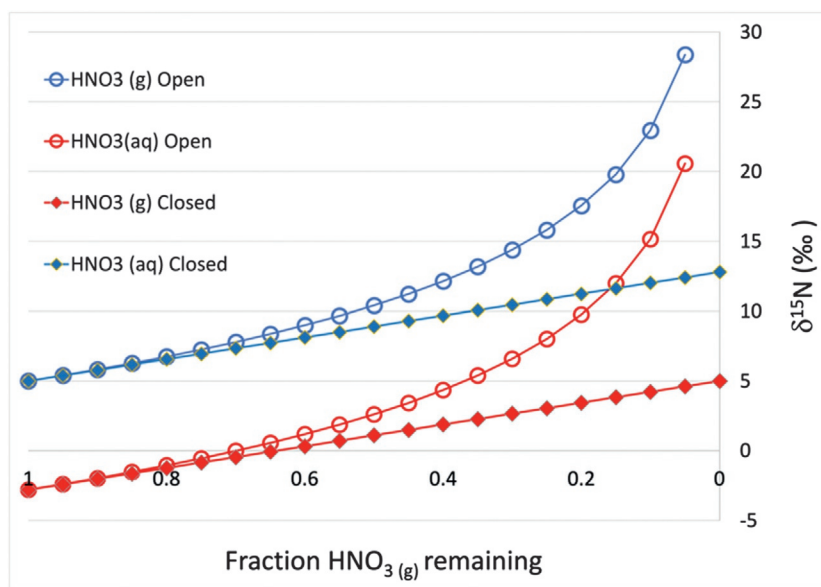
$$\delta_{(aq)} = \delta_{(g)o} + f_{(g)} \cdot \epsilon_{l/v} \quad (14)$$

$$\delta_{(g)} = \delta_{(g)o} - (1 - f_{(g)}) \cdot \epsilon_{l/v} \quad (15)$$

In the  $\text{HNO}_3$  case, due to the very high effective Henry's law constant for  $\text{HNO}_3$  ( $\sim 10^{15}$  M/atm), essentially all  $\text{HNO}_3$  will partition into the aqueous phase on a relatively short timescale depending on the cloud liquid water content and drop size distribution. Therefore, as  $f_{(g)} \rightarrow 0$  and  $\delta_{(g)} = \delta_{(g)o} - \epsilon_{l/v}$  and  $\delta_{(aq)} = \delta_{(g)o}$  (Fig. 3).

In an open Rayleigh distillation, an infinitesimal chemical and isotopic equilibrium is achieved between the products and reactants, or phases, but the new product (or phase) is removed from the system (Criss, 1999). Analogous to the above example, a rain droplet falling through an atmosphere containing gas  $\text{HNO}_3$  and using the same notation as above, the  $\delta_{(g)}$  and  $\delta_{(l)}$  values are a function of the fraction of  $\text{HNO}_3$  gas remaining:





**Fig. 3** The change in  $\delta^{15}\text{N}$  of gas phase  $\text{HNO}_3$  (g) and aqueous phase  $\text{HNO}_3$  (aq) as a function of the fraction of  $\text{HNO}_3$  remaining in the gas phase for an open or closed system applying the Rayleigh distillation model and using a starting  $\delta^{15}\text{HNO}_3$  of +5‰. An  $\epsilon_{\text{aq/g}}$  of -6.7‰ was used assuming that diffusion across a stagnant layer at the droplet-atmosphere interface was the isotope fractionating process.

$$\delta_{(\text{g})} = \delta_{(\text{g})\text{o}} + \ln(f_{(\text{g})}) \cdot \epsilon_{\text{v/l}} \quad (16)$$

$$\delta_{(\text{l})} = \delta_{(\text{g})\text{o}} + \left( \ln(f_{(\text{g})}) + 1 \right) \cdot \epsilon_{\text{v/l}} \quad (17)$$

Such a hypothetical case might be a series of small droplets falling through an atmosphere with high levels of  $\text{HNO}_3$  (g), with the uptake limited by the volume of water. The residual  $\text{HNO}_3$  (g) becomes progressively enriched in  $^{15}\text{N}$ , as would each succeeding droplet (Fig. 3).

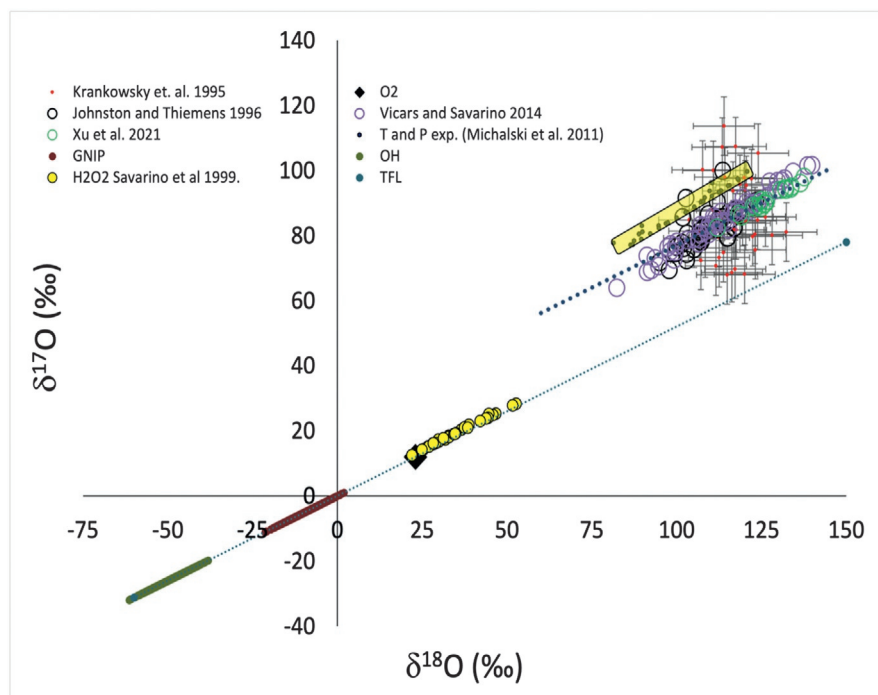
### Tropospheric $\text{NO}_y$ isotopologues

Measurements of N and O isotopes in  $\text{NO}_y$  compounds in the atmosphere must be interpreted in the context of all of these above-mentioned processes. For example, the  $\delta^{15}\text{N}$  of tropospheric  $\text{HNO}_3$  will be a function of the  $\delta^{15}\text{N}$  values of the precursor  $\text{NO}_x$  sources, the KIE, EIE, VPIE, and PHIFE occurring during the reactions that convert  $\text{NO}_x$  into  $\text{HNO}_3$ , and what fraction of the  $\text{NO}_x$  is converted into  $\text{HNO}_3$ . In a simple case, such as a  $\text{NO}_x$  plume where >95% of the  $\text{NO}_x$  is converted into  $\text{HNO}_3$  within the plume, then the plume  $\delta^{15}\text{HNO}_3$  should be roughly equal to the  $\delta^{15}\text{NO}_x$  (i.e., closed Rayleigh model). Conversely, after a major rainfall event, where the majority of N pollutants have been removed, new  $\text{HNO}_3$  being formed from new  $\text{NO}_x$  emissions will have a significantly different  $\delta^{15}\text{N}$  value compared to the  $\text{NO}_x$  source due to the KIE, EIE, VPIE, and PHIFE occurring during oxidation and because only a small fraction of total  $\text{NO}_y$  is  $\text{HNO}_3$  at this point. The same holds for oxygen isotopes, but with significant differences. The oxygen isotope composition of  $\text{NO}_x$  emitted by a particular source during the day is quickly lost during photochemical recycling. The photolysis lifetime of  $\text{NO}_2$  is a few minutes during peak sunlight, while the lifetime of  $\text{HNO}_3$  production is on the order of hours to days depending on the oxidant load. Thus,  $\delta^{18}\text{O}$  ( $\Delta^{17}\text{O}$ ) values of daytime  $\text{HNO}_3$  is controlled by the oxidation chemistry, with sources playing almost no role. This is not the case during the night when photolysis is no longer acting as the "isotopic scrambler," and  $\text{NO}_x$  sources can influence  $\delta^{18}\text{O}$  and  $\Delta^{17}\text{O}$  of  $\text{HNO}_3$  produced at night. Therefore, it is important to understand the  $\delta^{18}\text{O}$  and  $\Delta^{17}\text{O}$  values of the main oxidants in the troposphere.

### Isotopologues of tropospheric oxidants

#### Isotopologues of tropospheric $\text{O}_2$

As the most abundant oxidant in the atmosphere, molecular oxygen ( $\text{O}_2$ ) is key to several reaction schemes that influence the  $\delta^{18}\text{O}$  and  $\Delta^{17}\text{O}$  of many reactive atmospheric isotopologues. It is widely accepted that tropospheric  $\text{O}_2$  has an essentially constant  $\delta^{18}\text{O}$  value of +23.4‰ (Dole et al., 1954; Kroopnick and Craig, 1972). This +23.4‰ value (Fig. 4) is the result of the "Dole effect" (Bender et al., 1994), the balance between the production of  $\text{O}_2$  by photosynthesis, which roughly equals the  $\delta^{18}\text{O}$  of water at the chlorophyll-air interface, and isotope enrichment of  $\text{O}_2$  that occurs during respiration ( $\epsilon \sim +20\text{‰}$ ). Deviations from the +23.4‰



**Fig. 4** Dual oxygen isotope ratio plot of the main tropospheric oxidants:  $O_2$ ,  $H_2O$ ,  $OH$ ,  $O_3$  and  $H_2O_2$ . Tropospheric  $O_2$  (black triangle) has a nearly constant  $\delta^{18}O$  value of 23.4‰ (Dole et al., 1954) and only per meg variation in  $\Delta^{17}O$  (Luz and Barkan, 2005). The tropospheric water vapor range is based on 1300 measurements at GNIP sites between 43°S and 70°N and assuming  $\delta^{17}O = 0.52\delta^{18}O$  based on triple oxygen isotope analysis of meteoric waters by (Meijer and Li, 1998). The  $\delta^{18}O$  and  $\delta^{17}O$  of  $OH$  is based on the EIE between  $OH$  and tropospheric water vapor using  $\epsilon$  values based on  $\alpha$ 's calculated in Walters and Michalski (2016a,b). There is a  $\Delta^{17}O$  of 1–2‰ in rainwater  $H_2O_2$  (Savarino and Thieme, 1999a,b) that is obscured by the graph scale. The measured  $\delta^{18}O$  and  $\delta^{17}O$  of tropospheric  $O_3$  (open circles) are from authors shown in the chart legend. The measurement error bars are the size of the symbols except for Krankowsky et al., which are shown explicitly. The envelope of  $\delta^{17}O$  and  $\delta^{18}O$  predicted by the pressure and temperature dependence of the ozone isotope effect for typical tropospheric T and P is shown as the transparent yellow bar. Most tropospheric  $O_3$  falls on a slope  $\sim 0.6$  line offset by  $\sim 27$ ‰ (purple dashed line) from the mass dependent terrestrial (isotope) fractionation line (TFL) that passes through atmospheric  $O_2$  (black diamond, line) and SMOW at the x-y intercept.

value arise when  $O_2$  becomes isolated, either below the ocean mixed layer, inside leaves, or in soil pore space, where either photosynthesis or respiration is more rapid than mixing with the free atmosphere. Tropospheric  $O_2$  does have “per-meg” ( $1/10^6 =$  parts per mega) size variations in  $\Delta^{17}O$  values (Luz and Barkan, 2000; Luz et al., 1999) due to isotope exchange between  $CO_2$  and  $O^1(D)$  in the stratosphere. But from the perspective of understanding reactive tropospheric isotopologues of  $NO_y$ , assuming  $O_2$  has an  $\delta^{18}O = +23.4$ ‰ and  $\Delta^{17}O = 0$  seems a valid approximation. In the gas phase the direct oxidation of  $NO_x$  and  $SO_x$  by  $O_2$  is slow relative to oxidation by radicals and is therefore generally considered unimportant. This is not the case for some stack emissions where complete S and N oxidation by  $O_2$  occurs during combustion, generating “primary”  $SO_4^{2-}$  and  $NO_3^-$  via  $O_2$  or  $O_2$  derived radicals (Holt et al., 1982; Proemse et al., 2012). Indeed, stack aerosol  $NO_3^-$  with  $\Delta^{17}O$  values close to zero and  $SO_4^{2-}$  with elevated  $\delta^{18}O$  are evidence of this in stack oxidation by  $O_2$  (Holt et al., 1982; Proemse et al., 2012). More importantly,  $O_2$  is utilized during tropospheric photochemistry as a functional group in radical propagation. For example, H radicals (from the  $CO + OH \rightarrow CO_2 + H$  reaction) immediately combine with  $O_2$  to form a hydroperoxyl radical ( $HO_2$ ) that can oxidize  $NO$  and  $SO_2$  (Monks, 2005), transferring an oxygen atom and likely inducing some KIE. Thus, it has been hypothesized that oxygen atoms from radicalized  $O_2$  get incorporated into  $NO_3^-$  and  $SO_4^{2-}$  during  $NO_x$  and  $SO_x$  oxidation and inherit a proportion of  $O_2$ 's isotopic value (Lyons, 2001; Michalski et al., 2011). Likewise,  $OH$  oxidized organic compounds ( $\bullet R$ ) form organic radicals that rapidly combine with  $O_2$  to form alkyl peroxy radicals ( $RO_2$ ) that oxidize  $NO$  into  $NO_2$  (Fig. 1, R16). Presumably, this transfers an O atom derived from  $O_2$  to  $NO_2$  with some KIE.  $O_2$  also plays a role in the aqueous phase metal-catalyzed oxidation of S(IV) that has been recognized as a significant S oxidation pathway, particularly in highly polluted urban centers (Alexander et al., 2009b; Sofen et al., 2011). Thus, it is clear that the  $\delta^{18}O$  (+23.4‰) and  $\Delta^{17}O$  (0‰) of  $O_2$  must impact the  $\delta^{18}O$  and  $\Delta^{17}O$  values of reactive tropospheric isotopologues.

### Isotopologues of tropospheric $H_2O$

The  $\delta^{18}O$  of tropospheric water varies widely across the planet, caused by competing isotope fractionations occurring during evaporation and condensation, and plays an important role in the  $\delta^{18}O$  and  $\Delta^{17}O$  compositions of reactive N isotopologues. The Global Network of Isotopes in Precipitation (GNIP) has shown that the  $\delta^{18}O$  of tropospheric water is typically 0 to  $-30$ ‰ with

respect to ocean water (Fig. 4) but can range from extremely negative values at the poles ( $\sim -60\%$ ) to slightly positive values ( $\sim +5\%$ ) in arid environments (Meijer and Li, 1998). Water does have small, per-meg level variations in  $\Delta^{17}\text{O}$  values that are caused by different mass-dependent slopes for the KIE and EIE occurring during evaporation (Barkan and Luz, 2007; Luz et al., 2009; Uemura et al., 2010). But permeg  $\Delta^{17}\text{O}$  is far below current  $\Delta^{17}\text{O}$  analytical techniques for reactive tropospheric isotopologues, therefore a  $\Delta^{17}\text{O} \sim 0\%$  assumption seems valid for the current discussion. Water absorbed to aerosol surfaces, sometimes called a quasi-liquid layer, likely plays a role in the  $\delta^{18}\text{O}$  and  $\Delta^{17}\text{O}$  of  $\text{NO}_{3\text{atm}}$  via (R9) the heterogeneous  $\text{N}_2\text{O}_5 + \text{aerosol(aq)} \rightarrow 2\text{HNO}_3$  reaction (Chang et al., 2011) when liquid water is incorporated into  $\text{HNO}_3$ . The  $\delta^{18}\text{O}$  of the vapor  $\text{H}_2\text{O}$  is negative with respect to the liquid due to the EIE between the two phases (Griffis et al., 2016) and the size of EIE is a function of temperature and ranges from about  $-11.7\%$  to  $-8\%$  over temperature ranges typical of the troposphere (273–315 K). Water vapor can be incorporated into  $\text{NO}_{3\text{atm}}$  via the  $\text{N}_2\text{O}_5 + \text{H}_2\text{O} \rightarrow 2\text{HNO}_3$  reaction but this reaction is slow with respect to the  $\text{N}_2\text{O}_5$  heterogeneous reaction. More importantly  $\text{H}_2\text{O}$  vapor can undergo isotopic exchange with OH radicals (see below) and be incorporated in  $\text{NO}_{3\text{atm}}$  via R5. Thus, it is clear that water, both as a liquid and a gas, directly impacts reactive tropospheric isotopologues  $\delta^{18}\text{O}$  and  $\Delta^{17}\text{O}$  values.

### Isotopologues of tropospheric $\text{O}_3$

There are only four studies that have measured the oxygen isotopic composition of tropospheric ozone (Fig. 4) utilizing two different analytical methods. Johnston and Thiemens (1997) and Krankowsky et al. (1995) used cryogenic trapping of whole air and separation to isolate  $\text{O}_3$ , then decomposed it into  $\text{O}_2$  and measure that by dual inlet IRMS (Johnston and Thiemens, 1997; Krankowsky et al., 1995). Johnston and Thiemens (1997) observed tropospheric  $\text{O}_3$   $\delta^{18}\text{O}$  values (from 3 different sites) ranging from 102 to 120‰ (relative to SMOW) with a mean of  $107 \pm 7\%$  and  $\Delta^{17}\text{O}$  values ranging from 20 to 35.8‰. Krankowsky et al. measured similar  $\text{O}_3$   $\delta^{18}\text{O}$  values but with a higher mean ( $116 \pm 6\%$ ) and a wider range of 103‰ to 132‰. Krankowsky et al. did not report their  $\Delta^{17}\text{O}$  values but based on data extracted from their Fig. 4, their  $\Delta^{17}\text{O}$  had a much higher range relative to Johnston and Thiemens, from 6‰ to 54‰. This may, in part, be due to their high analytical uncertainty in both  $\delta^{18}\text{O}$  ( $\pm 10\%$ ) and  $\delta^{17}\text{O}$  ( $\pm 12\%$ ). Neither of these studies reported the ambient temperature and pressure during the sampling period, therefore it is difficult to make a direct comparison between these reported values and those predicted by lab experiments. A more recent technique for measuring  $\text{O}_3$  isotopes takes advantage of the reaction of  $\text{O}_3$  on nitrite-coated filters to produce nitrate and subsequent  $\text{NO}_3^-$  isotope analysis (Vicars et al., 2012; Xu et al., 2021). Along a ship track that spanned from Patagonia to northern France, Vicars and Savarino (2014) measured a similar  $\text{O}_3$   $\delta^{18}\text{O}$  range and mean ( $113 \pm 13\%$ ) as the cryogenic studies but a much narrower  $\Delta^{17}\text{O}$  range ( $25.8 \pm 3\%$ ) (Vicars and Savarino, 2014). Xu et al. (2021) has recently reported a slightly higher  $\delta^{18}\text{O}$  mean ( $127 \pm 7\%$ ) on a limited data set from Japan ( $n = 19$ ) but like Vicars and Savarino, a relatively narrow  $\Delta^{17}\text{O}$  range ( $25.1 \pm 0.8\%$ ).

The observed tropospheric  $\text{O}_3$   $\delta^{18}\text{O}$  and  $\Delta^{17}\text{O}$  values are at slight odds with the values expected based on tropospheric temperatures and pressures (Fig. 4). Numerous laboratory experiments have shown that the magnitude of both the mass-dependent and mass-independent isotope fractionation during  $\text{O}_3$  formation is a function of both the ambient temperature and pressure (see reviews by Thiemens, 2005; Mauersberger et al., 2003). Michalski et al. used the experimental data to derive simple temperature (in K) and pressure (in torr) equations that predict  $\delta^{18}\text{O}$  and  $\Delta^{17}\text{O}$  for ozone produced in the troposphere (Michalski et al., 2011).

$$\delta^{18}\text{O} = (-0.028 \bullet P + 134.8) + 0.52 \bullet (T - 321) \quad (18)$$

$$\Delta^{17}\text{O} = (78.8 \bullet P^{-0.122}) + 0.06 \bullet (T - 321) \quad (19)$$

Assuming a planetary boundary layer extending from the surface to 4 km (760 to 220 Torr) and a temperature range between 330 K and 260 K, then tropospheric  $\text{O}_3$   $\delta^{18}\text{O}$  values should range between  $\sim 80\%$  to 120‰. This is in good agreement with most of the tropospheric  $\text{O}_3$   $\delta^{18}\text{O}$  observations, although some of the nitrite technique data appears biased to higher values. This bias could be a consequence of two factors. First, ozone in the troposphere is highly reactive towards  $\text{NO}_x$ , VOCs, and surfaces, and assuming isotopically light  $\text{O}_3$  reacts faster than heavy, typical for KIEs, then residual  $\text{O}_3$   $\delta^{18}\text{O}$  would become elevated relative to the initial (formation) value. Second, it is possible there may be some mass-dependent isotope effects occurring in the nitrite +  $\text{O}_3$  reaction during collection, which would explain the lower  $\delta^{18}\text{O}$  values in the cryogenic collection data. The  $\text{O}_3$   $\Delta^{17}\text{O}$  predicted for tropospheric P and T is between 32‰ and 36‰ (Fig. 4), significantly higher than those observed using the filter technique and in most of the cryogenic data. The narrow  $\Delta^{17}\text{O}$  minimum and maximum spread for the P and T experiments (4‰) agrees well with the spread observed by Vicars and Savarino (3‰), but their absolute  $\Delta^{17}\text{O}$  values are roughly 10‰ lower than those predicted by the experiments. Likewise, only 4 data points in the.

Johnston and Thiemens study are near the experimental values, which when excluded, brings the study into an agreement with Vicars and Savarino and Xu et al. The Krankowsky et al.  $\Delta^{17}\text{O}$  also averages 25‰ but this is a fortuitous consequence of poor precision rather than accurate  $\Delta^{17}\text{O}$  measurements.

The cause of the discrepancy between observed tropospheric  $\text{O}_3$   $\Delta^{17}\text{O}$  values and those predicted by laboratory experiments is unclear. The obvious possible cause is the difference in environmental conditions in the experiments compared to the troposphere. The experiments were carried out in either pure  $\text{O}_2$  or  $\text{O}_2$  in an inert bath gas using high-energy UV or electrical discharge to disassociate  $\text{O}_2$ . In contrast, ozone in the troposphere is produced by  $\text{NO}_2$  photodissociation at visible and low-energy UV wavelengths. This particular potential bias seems unlikely because experiments examining  $\text{NO}_x\text{-O}_3$  isotopic photo-equilibrium

showed that the  $O_3$  isotope composition was the same as those in the pure  $O_2$  experiments (Michalski et al., 2014). The troposphere, however, also has a number of trace gases that are highly reactive with ozone, and there is potential for some unknown oxygen isotope exchange reactions to occur during photochemical cycling in the troposphere.

Another important consideration concerning the  $\Delta^{17}O$  of tropospheric  $O_3$ , and its ability to transfer oxygen atoms to other compounds during oxidation reactions, is the isotopic difference between the central and terminal atoms within the  $O_3$  molecule. Like water, ozone is a bent, 3-atom molecule with  $C_{2v}$  symmetry and both experiments and theory suggest that the isotopic composition of the oxygen atoms within  $O_3$  are not equal. When the minor isotope, either  $^{17}O$  or  $^{18}O$ , is substituted at the terminal end of an ozone molecule it reduces ozone's symmetry from  $C_{2v}$  to  $C_s$ . It is in this symmetry breaking that the mass-independent isotope effect is believed to be derived (Gao and Marcus, 2001), suggesting the terminal atom will contain all the  $\Delta^{17}O$  anomaly. Experiments have shown this to be the case (Bhattacharya et al., 2008; Michalski and Bhattacharya, 2009) and that the  $\Delta^{17}O$  value of the terminal oxygen atom is 1.5 times that of the bulk  $O_3$ . This is important because it is the terminal atom that is transferred to  $NO_2$  during  $NO$  ozone oxidation (R1) and controls the  $\Delta^{17}O$  of higher  $NO_y$  oxides (see Section "Oxygen isotopes fractionations during the production of  $NO_y$  and tropospheric observations"). Based on the observed tropospheric  $O_3$   $\Delta^{17}O$ , the value of the terminal oxygen atom is currently assumed to be  $39 \pm 2\%$  (Albertin et al., 2021). This is in good agreement with  $NO_x$ - $O_3$  photochemical equilibrium experiments that previously showed  $NO_2$  reached a steady state  $\Delta^{17}O$  value of  $39.3 \pm 1.9\%$  and  $\delta^{18}O$  values of  $84.2 \pm 4\%$  (Michalski et al., 2014).

### Isotopologues in the tropospheric $HO_x$ cycle

The tropospheric water vapor also plays an important role in the  $\delta^{18}O$  and  $\Delta^{17}O$  values of reactive isotopologues indirectly through the  $HO_x$  cycle (R15a, R15b and R20). Water vapor is the reactant used to produce the OH radical (R15a, R15b) a vital oxidant in atmospheric chemistry. It oxidizes all important trace gases including CO,  $NO_2$ , and  $SO_2$  thus influencing the isotope composition of photochemically produced  $CO_2$ ,  $NO_3^-$  and  $SO_4^{2-}$ . OH is produced when ozone photolysis by UV radiation produces  $O^1(D)$  that reacts with  $H_2O$  (R15a, R15b).

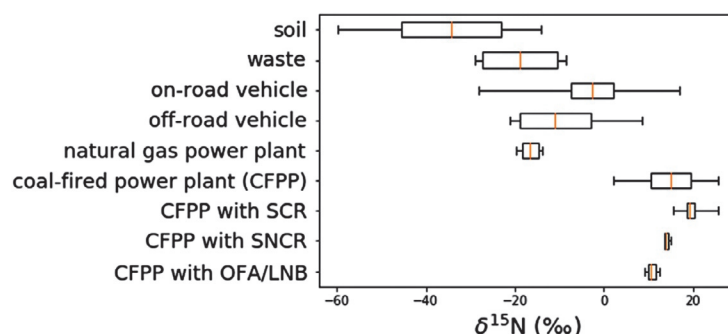


Since the  $O^1(D)$  is derived from  $O_3$  dissociation, theoretically OH should initially have high  $\Delta^{17}O$  and  $\delta^{18}O$  values, roughly half of those found in  $O_3$  since  $H_2O$   $\delta^{18}O$  and  $\Delta^{17}O$  values are roughly zero. However, experiments have shown that there is an isotope exchange between OH and gaseous  $H_2O$  (Greenblatt and Howard, 1989) and that due to  $H_2O$ 's large mixing ratio ( $\sim 0.01$ ) OH's initial isotope composition can potentially be erased through this EIE (Michalski et al., 2011). This suggests that little of  $O_3$   $\Delta^{17}O$  is transferred from OH to compounds during OH addition reactions.

Hydrogen peroxide ( $H_2O_2$ ) is an important oxidant in the aqueous phase and its isotopic composition has relevance for oxygen isotopes during  $SO_4^{2-}$  production by  $SO_2$  oxidation in clouds, fog and rain. To date there has only been one study on the  $\delta^{18}O$  and  $\Delta^{17}O$  of atmospheric  $H_2O_2$ . Savarino and Thiemens measured  $H_2O_2$  in coastal rain collected in San Diego, USA over a 4-month period (Savarino and Thiemens, 1999a). They found  $\delta^{18}O$  values ranging between 22 and 53‰ versus SMOW and  $\Delta^{17}O$  values that ranged from 1.2 to 2.4‰. The origin of the elevated  $\delta^{18}O$  and  $\Delta^{17}O$  values in  $H_2O_2$  is unresolved but similar effects have been generated in water vapor discharge experiments (Savarino and Thiemens, 1999b) and may be related to  $HO_x$  chemistry. The recent detection of significant  $HO_x$  production during lightning discharges in convective storms (Brune et al., 2021) is a possible connection to observed  $\Delta^{17}O$  in rainwater  $H_2O_2$  and the discharge experiments (Savarino and Thiemens, 1999b). Since  $H_2O_2$  is produced via OH chemistry, the observed positive  $\Delta^{17}O$  and high  $\delta^{18}O$  values in  $H_2O_2$  possibly suggests that the OH +  $H_2O$  isotope exchange may not reach full equilibrium. Under high  $NO_x$  (10 ppbv) and/or low water mixing ratios (5E-3) the OH reactivity rates can become comparable to the isotope exchange rate, but these cases are rare (Morin et al., 2008). What has been demonstrated is that some fraction of the  $\Delta^{17}O$  observed in rain and aerosol  $SO_4^{2-}$  is due to the transfer of an O atom from  $H_2O_2$  to  $SO_4^{2-}$  during aqueous phase  $SO_2$  oxidation (Savarino et al., 2000), thus  $\Delta^{17}O$  can be used to trace  $SO_2$  oxidation by  $H_2O_2$  and  $O_3$ .

### Isotopes of N in precursor gases $NO_x$ and $NH_3$

$NO_x$ , primarily as  $NO$ , is emitted by a number of natural and anthropogenic processes that have a range of isotope compositions. Likewise, there are a number of natural and anthropogenic processes that emit  $NH_3$  with a significant range of  $^{15}N/^{14}N$  isotope compositions. Here only measurements of  $\delta^{15}NO_x$  (Fig. 5) collected directly (or as close to directly as possible) from the source are discussed to the exclusion of ambient sampling near sources or using proxies (tree rings, lichen) because there is potential for chemical and isotopic alteration of  $NO_x$  over timescales shorter than, or comparable to, atmospheric transport from the source to the sampling/proxy location.



**Fig. 5** The  $\delta^{15}\text{N}$  distributions of  $\text{NO}_x$  collected directly from various sources as discussed in the text. There is clear  $\delta^{15}\text{N}$  differences between three of the main  $\text{NO}_x$  sources: Soils, vehicles, and coal fired power plants with different  $\text{NO}_x$  reduction technologies. From Fang H and Michalski G (2022) Assessing the roles emission sources and atmospheric processes play in simulating  $\delta^{15}\text{N}$  of atmospheric  $\text{NO}_x$  and  $\text{NO}_3$  using CMAQ (version 5.2.1) and SMOKE (version 4.6). *Geoscientific Model Development* 15(10): 4239–4258, <https://doi.org/10.5194/gmd-15-4239-2022>.

### The $\delta^{15}\text{N}$ of soil $\text{NO}_x$

$\text{NO}$  emitted by soils as a by-product of nitrification and denitrifications has very negative  $\delta^{15}\text{N}$  values. Nitrification is the stepwise microbial oxidation of  $\text{NH}_4^+$  to  $\text{NO}_3^-$  and denitrification is the stepwise microbial reduction of  $\text{NO}_3^-$  to  $\text{N}_2$ , and in both processes  $^{14}\text{N}$  reacts preferentially (Hogberg, 1997). During both processes  $\text{NO}$  (and  $\text{N}_2\text{O}$ ) are reaction intermediates and as gases can diffuse across cellular membranes and diffuse out of soil and collecting known a “soil  $\text{NO}_x$ ”. Li and Wang first reported  $\delta^{15}\text{NO}$  ranging from  $-49$  to  $-28\text{‰}$  in lab incubation fertilization experiments using cropland soils, with more negative values emitted immediately after fertilization and the less negative values occurring several weeks later (Li and Wang, 2008). They suggested this was a shift of  $\text{NO}$  produced as a by-product of nitrification to that derived from denitrification. Felix and Elliott only studied livestock waste emissions (cows and turkeys) using passive samplers and found  $\delta^{15}\text{N}$  from  $-29\text{‰}$  to  $-8.5\text{‰}$  (Felix and Elliott, 2014). Observations of seasonally dry grasslands that produced  $\delta^{15}\text{NO} \sim -43\text{‰}$  24 h after wetting were linked to nitrification (Homyak et al., 2016).  $\text{NO}$  emissions after fertilizer application (injected anhydrous and broadcast) in till and no-till fields had a range of  $\delta^{15}\text{N}$  values from  $-20$  to  $-45\text{‰}$  with injected systems showing a less negative  $\delta^{15}\text{N}$  values as a function of time since application (Miller et al., 2018). Field and lab fertilizer experiments generated  $\delta^{15}\text{NO}$  ranging from  $-59.8$  to  $-23.4\text{‰}$ , depending on the N substrates applied that induced either nitrification or denitrification (Yu and Elliott, 2017). Likewise, Su et al. found aerobic soil  $\delta^{15}\text{NO}_x$  ranged from  $-62\text{‰}$  to  $-50\text{‰}$  while anaerobic soils ranged from  $-45\text{‰}$  to  $-23\text{‰}$  (mean  $-33 \pm 7\text{‰}$ ) with a small variability among ecosystem types (forest, grassland or agriculture) (Su et al., 2020). This significant  $\delta^{15}\text{N}$  difference was attributed to  $\text{NO}_x$  being produced by nitrification in the aerobic soils and by denitrification in the anaerobic soil, which was supported by simultaneous  $\delta^{15}\text{N}$  changes in soil  $\text{NO}_3^-$  and  $\text{NH}_4$ , similar to the results of Li and Wang. The emerging consensus is that the  $^{15}\text{N}$  enrichment factor is more negative for nitrification ( $\sim -60\text{‰}$ ) than for denitrification ( $\sim 30\text{‰}$ ) but in either case, the extremely negative soil  $\delta^{15}\text{NO}$  values may be useful as a tracer of the relative importance of nitrification/denitrification in regional  $\text{NO}_x$  budgets.

### The $\delta^{15}\text{N}$ of biomass burning $\text{NO}_x$

The  $\delta^{15}\text{NO}_x$  produced during biomass burning is a function of the  $\delta^{15}\text{N}$  of the biomass N. Laboratory experiments by Fibiger and Hastings (2016) showed  $\delta^{15}\text{NO}_x$  generated by biomass burning was mainly a function of the biomass  $\delta^{15}\text{N}$  value, but also of how the burn emission were sampled. When emissions were collected as part of a rising plume, the  $\delta^{15}\text{NO}_x$  was about  $+2\text{‰}$  relative the  $\delta^{15}\text{N}$  of the biomass burned. When emissions were allowed to sit for 1–2 h inside the chamber, the  $\delta^{15}\text{NO}_x$  was about  $1/2$  the  $\delta^{15}\text{N}$  value of the biomass burned, possible due to secondary chemistry occurring while  $\text{NO}_x$  resided in the chamber. This is a good example of how quickly chemistry can alter the initial  $\delta^{15}\text{NO}_x$  value of an emission source. In a similar study, direct  $\text{NO}_x$  emitted by laboratory fires that burned various biomass materials from the western US had  $\delta^{15}\text{NO}_x$  ranges from  $-4.3\text{‰}$  to  $+7.0\text{‰}$  and correlated with the  $\delta^{15}\text{N}$  of the fuel (Chai et al., 2019) and similar results have been found in  $\text{NO}_x$  generated in biomass-burning cookstoves (Shi et al., 2022; Zong et al., 2022). The  $\delta^{15}\text{N}$  of above-ground biomass averages  $0.9\text{‰}$  with 95% of the samples falling within a range of  $-7.8\text{‰}$  to  $8.7\text{‰}$  (Amundson et al., 2003; Craine et al., 2015). Thus, the  $\delta^{15}\text{NO}_x$  generated by wildfires will therefore be a function of the  $\delta^{15}\text{N}$  of the particular biome being burned but globally should center around  $0 \pm 8\text{‰}$ .

### The $\delta^{15}\text{N}$ of lightning $\text{NO}_x$

The  $\delta^{15}\text{NO}_x$  produced from lightning is poorly constrained. Lightning produces peak temperatures in excess of  $30,000\text{ K}$  for a few microseconds mostly by ohmic heating (Orville, 1968). Subsequent expansion, radiation, and conduction, cools the discharge channel to  $3000\text{--}4000\text{ K}$  plasma with high concentrations (order of  $1\text{--}20\%$ ) of  $\text{O}$ ,  $\text{NO}$ ,  $\text{OH}$ ,  $\text{NO}$  and  $\text{N}$  radicals produced by the Zel'dovich mechanism (Bhettanabhotla et al., 1985; Goldenbaum and Dickerson, 1993; Hill, 1979). Rapid cooling of this hot channel occurs over next milliseconds and “freezes out” the  $\text{NO}$ , prohibiting attaining thermal equilibrium with  $\text{N}_2$  and  $\text{O}_2$  and



producing ppbv level of NO<sub>x</sub> along the flash path (Chameides et al., 1977; Pollack et al., 2016). Using EIE from Urey and Greiff (1935), Ingerson postulated that lightening NO<sub>x</sub> might be −15‰ (at 375C) depending on when  $^{15}\text{NO} + \text{N}_2 \leftrightarrow ^{15}\text{N}_2 + \text{NO}$  equilibrium ceased but at higher temperatures (~1200 K) the EIE should become negligible with  $\delta^{15}\text{N} < 1.7\text{‰}$  (Ingerson, 1953; Urey and Greiff, 1935). This assumption is questionable because combustion NO<sub>x</sub>, also occurring at high temperatures shows a significant KIE rather than an EIE. A single set of experiments designed to simulate lightning, but with few experimental details, showed air flowing through a spark discharge produced a  $\delta^{15}\text{N}$  of nitrate (NO<sub>3</sub>) at −0.5 to +1.4‰ (Hoering, 1957).

### The $\delta^{15}\text{N}$ of NO<sub>x</sub> from internal combustion engines (ICE) and ship emission

The  $\delta^{15}\text{NO}_x$  emitted by vehicles varies depending on the vehicle type, particularly whether it is equipped with a NO<sub>x</sub> reduction catalytic converter (CC) and the driving conditions. Similar to lightning, NO<sub>x</sub> is produced in ICEs via the Zel'dovich mechanism. ICEs that lack CCs, such as lawn care equipment and older vehicles tend to produce negative  $\delta^{15}\text{NO}_x$  values (Walters et al., 2015b, c). This has been interpreted as a KIE occurring during the Zel'dovich mechanism with the  $^{14}\text{N}$  reacting preferentially due to its higher zero-point energy. Similar to older vehicles without CCs, new vehicles emitted negative  $\delta^{15}\text{NO}_x$  during initial startup but the  $\delta^{15}\text{NO}_x$  became less negative and even positive as the engine operation time increased (idling or driving). This phenomenon was explained by a KIE or EIE occurring during NO<sub>x</sub> reduction on the CC surface where  $^{14}\text{NO}_x$  was preferentially reduced to N<sub>2</sub> leaving  $^{15}\text{NO}_x$  in the NO<sub>x</sub> exhaust stream (Walters et al., 2015b). These results have been replicated by a number of studies in several countries. Similar isotope effects have been observed in diesel engines that utilize ammonia-based selective catalytic reduction (SCR) technology (Walters et al., 2015c). Recently, the  $\delta^{15}\text{N}$  emission values of the main and auxiliary engines from 9 vessels were  $-18.5 \pm 10.9\text{‰}$  with a negative logarithmic relationship with NO<sub>x</sub> concentrations that again showed the isotope effect of emission control technology (Sun et al., 2023).

### The $\delta^{15}\text{N}$ of NO<sub>x</sub> from electrical generating units and industry

Electrical generating units (EGUs) and industrial/residential boilers produce  $\delta^{15}\text{NO}_x$  values that depend on the combustion type (temperature), fuel source, and whether any NO<sub>x</sub> reduction technologies are utilized on a particular emission stack. Felix et al. (2012) collected NO<sub>x</sub> from EGU stacks and found the  $\delta^{15}\text{NO}_x$  ranged from 9‰ to 26‰, significantly higher than that of other measured NO<sub>x</sub> emission sources (Felix et al., 2012). The  $\delta^{15}\text{NO}_x$  emitted by coal burning in EGUs or during home heating is, like biomass burning, partially a function of the  $\delta^{15}\text{N}$  content of the coal. The  $\delta^{15}\text{N}$  of nitrogen in coal are unique depending on its place of origin but typically ranges between +4‰ and −4‰ (Xiao and Liu, 2011). Coal combustion can also produce thermal NO<sub>x</sub> from reactions between nitrogen and oxygen radicals produced at high temperatures. Depending on the country or age of a power plant it may or may not be equipped with NO<sub>x</sub> selective reduction technology. EGU stacks burning coal with a  $\delta^{15}\text{N} = +2\text{‰}$  produced  $\delta^{15}\text{NO}_x$  of ~+10.5‰ when the SCR was turned off but +20‰ when the SCR was active (Felix et al., 2012), indicating a KIE or EIE during SCR was enriching NO<sub>x</sub> isotopes, similar to vehicle CC. In the US and Europe, natural gas has been replacing coal as a fuel source in coal burning EGUs and natural gas turbines have become an important source of electricity during peak demand because they can be fired and stopped on much shorter timescales compared to traditional boilers. Since there is no nitrogen in natural gas, NO<sub>x</sub> is likely produced exclusively by the Zel'dovich mechanism during gas combustion. The  $\delta^{15}\text{NO}_x$  values measured in gas fired EGUs (−17.9‰) and gas home heaters (−15.5‰) is consistent with this hypothesis (Walters et al., 2015c). Sampling from a nitric acid production plant had NO<sub>3</sub><sup>−</sup> (and likely NO<sub>2</sub>)  $\delta^{15}\text{N}$  of −150‰ that was attributed to a Rayleigh distillation during NO<sub>2</sub> hydrolysis (Heaton, 1987) which is the synthesis method for producing commercial HNO<sub>3</sub> (Michalski et al., 2015).

Less is known about the  $\delta^{15}\text{NO}_x$  values in other important combustion NO<sub>x</sub> sources. Locomotives, fracking pumps, and various in-flight aircraft can be significant NO<sub>x</sub> sources regionally and none of these sources typically have any NO<sub>x</sub> reduction technology. Given that they are producing thermal NO<sub>x</sub> similar to ICE and natural gas boilers, it is probable that ships, locomotives, and aircraft produce NO<sub>x</sub> in the −10 to −15‰ but this should be confirmed by direct collection of NO<sub>x</sub> emitted by these sources. Less is known about other NO<sub>x</sub> sources such as other industrial/manufacturing processes, home oil heating, or waste management, and miscellaneous sources that account 25% of NO<sub>x</sub> emissions in the USA.

### The $\delta^{15}\text{N}$ of tropospheric NO<sub>y</sub>

The isotopic composition of the final products formed by the photochemical transformation of NO<sub>x</sub> and NH<sub>3</sub> will usually be different from the composition of the emitted reactants discussed in the previous section. This is a consequence of three effects: First, the mixing of the isotopic composition of the various reactant sources, second the isotope fractionation associated with the EIE, KIE, VPIE, and PHIFE as the compounds are oxidized, and third the fraction of the reactants converted into products (see Section "Stable isotope abundance nomenclature, fractionations, and mass balance"). For NO<sub>x</sub> → NO<sub>y</sub> in an airmass, Eqs. (19) and (20) can be derived from the Rayleigh distillation equations for closed and open systems:

$$\delta^{15}\text{N}_{\text{prod}} = \sum f_i \bullet \delta^{15}\text{NO}_x + f_{\text{NO}_x} \bullet \sum \epsilon_{\text{rxn } i} \quad (\text{Closed; } 20)$$

$$\delta^{15}\text{N}_{\text{prod}} = \sum f_i \bullet \delta^{15}\text{N}_{\text{react } i} + (\ln f_{\text{NO}_x} + 1) \bullet \sum \epsilon_{\text{rxn } i} \quad (\text{Open; } 21)$$

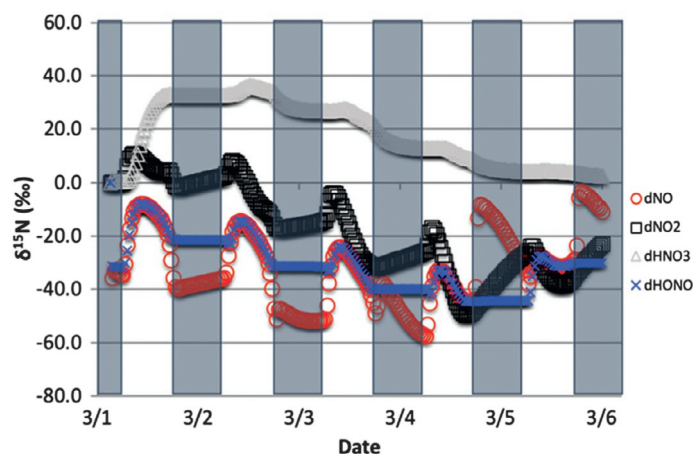
Here,  $\delta^{15}\text{N}_{\text{prod}}$  is the value of the product (e.g., NO<sub>3</sub><sup>−</sup>, HNO<sub>3</sub>, HONO),  $f_i$  is the mole fraction of each NO<sub>x</sub> source (i) relative to the airmass total NO<sub>x</sub>,  $\delta^{15}\text{NO}_x$  is  $\delta^{15}\text{N}$  value of each source (i) in the mixture,  $f_{\text{NO}_x}$  is the fraction of the reactant NO<sub>x</sub> remaining in the

airmass, and  $\Sigma \epsilon_{\text{rxn } i}$  is the total isotopic enrichment associated with the relevant chemical reactions (i) diagrammed in Fig. 1. The  $\Sigma \epsilon_{\text{rxn } i}$  has been termed simply  $\epsilon_{\text{oxid}}$ , the total isotope enrichment associated with  $\text{NO}_x$  oxidation (Song et al., 2021), but it should be noted that  $\epsilon_{\text{oxid}}$  will be different for different products (e.g., HONO compared to  $\text{HNO}_3$ ) and will likely change for any single product for different environmental conditions, such as differences in sunlight hours and VOC emission rates.

Some limiting cases in this reactive Rayleigh model are worth noting. In the case of a closed system when nearly all  $\text{NO}_x$  is fully oxidized (as  $f_{\text{NO}_x}$  approaches zero) and the  $f_{\text{NO}_x} \bullet \Sigma \epsilon$  term goes to zero and the product  $\delta^{15}\text{N}$  will equal the  $\delta^{15}\text{N}$  mass balance of the  $\text{NO}_x$  sources. A hypothetical example of such a condition might be a meteorologically stagnant, highly polluted urban environment with high  $\text{O}_3$  levels or a concentrated  $\text{NO}_x$  plume. In such cases, the product  $\delta^{15}\text{N}$  value (mainly  $\text{NO}_3^-$ ) could be used to constrain the local  $\text{NO}_x$  source budget. In a high  $f_{\text{NO}_x}$  case ( $f_{\text{NO}_x} \sim 1$ ), in both open and closed systems, the  $\text{NO}_3^-$  the product will be enriched by  $\Sigma \epsilon_{\text{rxn } i}$ . An example of a high  $f_{\text{NO}_x}$  condition might be an air mass immediately after a major rainfall event where all  $\text{NO}_{3\text{atm}}$  is removed by wet deposition and freshly emitted  $\text{NO}_x$  is just beginning to be oxidized into new  $\text{NO}_{3\text{atm}}$ . If mechanistic isotope models (Section "Explicit mechanistic models for simulating  $\delta^{15}\text{N}$ ,  $\delta^{18}\text{O}$ , and  $\Delta^{17}\text{O}$ ") can accurately predict  $\Sigma \epsilon_{\text{rxn } i}$  then the  $\text{NO}_y$  product  $\delta^{15}\text{N}$  minus this photochemical isotope enrichment ( $\Sigma \epsilon_{\text{rxn } i}$ ) could be used to constrain the local  $\text{NO}_x$  source budget. For example, in their meta-analysis of  $\text{NO}_{3\text{atm}}$  data, Song et al. used an empirical  $\epsilon_{\text{oxid}} = 3.9 \pm 1.8\text{‰}$  to back out the  $\delta^{15}\text{NO}_x$  sources and proposed that  $\text{NO}_x$  from soils emissions is underestimated in current  $\text{NO}_x$  budgets (Song et al., 2021). The intermediate cases are more complicated but measurements of  $\text{NO}_y$  concentrations can help constrain  $f_{\text{NO}_x}$  and potentially be used to constrain  $\text{NO}_x$  sources. This complex, dynamic evolution of  $\delta^{15}\text{NO}_3^-$  over time due the isotope effects occurring during conversion has recently been modeled using a simple photochemical box model (Fig. 6) (Fang et al., 2021). This isotope enabled photochemical model is discussed in Section "Modeling isotopologues of  $\text{NO}_{3\text{atm}}$ ."

### The $\delta^{15}\text{N}$ of tropospheric $\text{NO}_y$

There is mounting evidence that much of the  $\delta^{15}\text{N}$  variations observed in  $\text{NO}_y$  are linked to isotope effects occurring during the photochemical oxidation of  $\text{NO}_x$ . The photochemical effects influencing the  $\delta^{15}\text{N}$  of  $\text{NO}_y$  compounds are observed in the direct measurements of  $\delta^{15}\text{NO}_2$ . Of the few studies that have measured  $\delta^{15}\text{NO}_2$  most have found it to be depleted with respect to  $\text{NO}_{3\text{atm}}$ . Freyer et al. first measured the  $\delta^{15}\text{N}$  of  $\text{NO}_2$  sampled in Julich Germany between 1988 and 1991 (Freyer et al., 1993) and showed a seasonal trend with high values in winter (+6.1‰ maximum) and a low values in summer (−8‰ minimum) and they were about 8‰ lower than co-collected  $\text{pNO}_3^-$ . They attributed this to  $\text{NO}_x$  photochemical recycling during the day (R1–R3) and  $\text{NO}-\text{NO}_2$  EIE occurring at night. Passive sampling of  $\text{NO}_2$  along a road-field gradient (6 sites) showed the summertime  $\delta^{15}\text{NO}_2$  to be between −5 and −25‰ and between −10 and +10 during the winter (November), with each site showing a ~15‰ increase from summer to winter (Redling et al., 2013). Dahal and Hastings (2016) reported a mean  $\delta^{15}\text{NO}_2$  value of  $-8.3 \pm 0.9\text{‰}$  in passively collected urban  $\text{NO}_2$  during the summer and  $-6.4 \pm 2\text{‰}$  in the winter (Dahal and Hastings, 2016). Passive  $\text{NO}_x$  collectors at 3 sites in in Canada showed  $\delta^{15}\text{NO}_x$  varying from −5 to −30‰. On road and roadside  $\text{NO}_x$  in the New England region of the US, which represents a mixture of vehicle types and  $\text{NO}_x$  reduction technologies, had a fairly narrow range in  $\delta^{15}\text{N}$  values of  $-5 \pm 4\text{‰}$  (Miller et al., 2017), similar to the average values measured directly from vehicle tailpipes (Walters et al., 2015a). Walters et al. (2018) actively collected atmospheric  $\text{NO}_2$  using denuder tubes in urban/suburban location (summer) and found a wider range, from

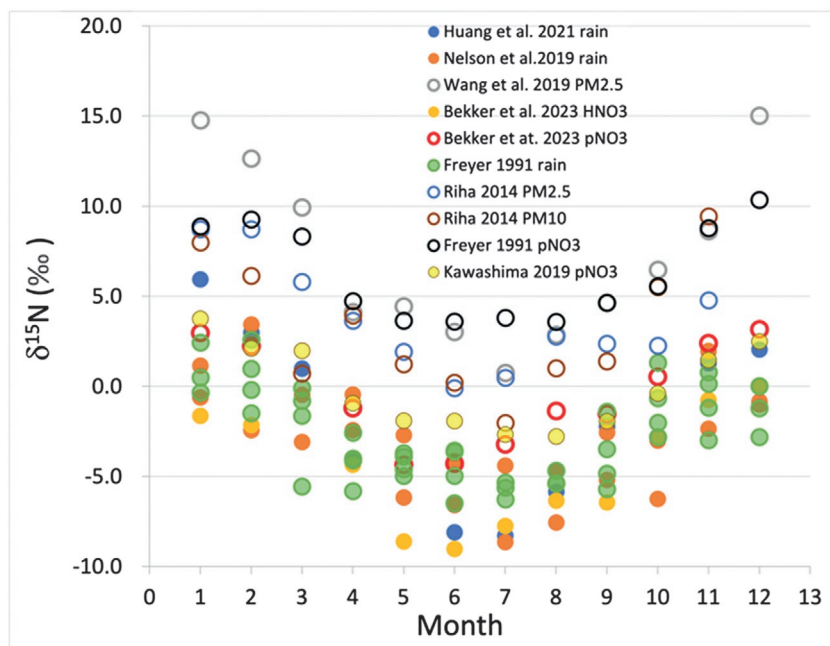


**Fig. 6** The partitioning of  $^{15}\text{N}$  between reactants ( $\text{NO}$  and  $\text{NO}_2$ ) and products ( $\text{HNO}_3$ ,  $\text{HONO}$ ) over the course of a week predicted by the isotope-enabled photochemical box model  $\text{iN}^2\text{RACM}$  (Fang et al., 2021). When the initial  $\delta^{15}\text{N}$  of  $\text{NO}_x$  was set to 0‰ and the  $f_{\text{NO}_x} \sim 1$ , the initial  $\text{HNO}_3$  produced during day 1 of the simulation, has  $\delta^{15}\text{NO}_3^- \sim \epsilon_{\text{oxid}}$ . (About +30‰). After roughly a week, when  $f_{\text{NO}_x} \sim 0$  and  $f_{\text{HNO}_3} \sim 1$ , the  $\delta^{15}\text{NO}_3^-$  approaches the initial  $\delta^{15}\text{NO}_x$  value. Simulations using  $\text{iN}^2\text{RACM}$  have shown that  $\epsilon_{\text{oxid}}$  varies significantly for different environmental conditions.

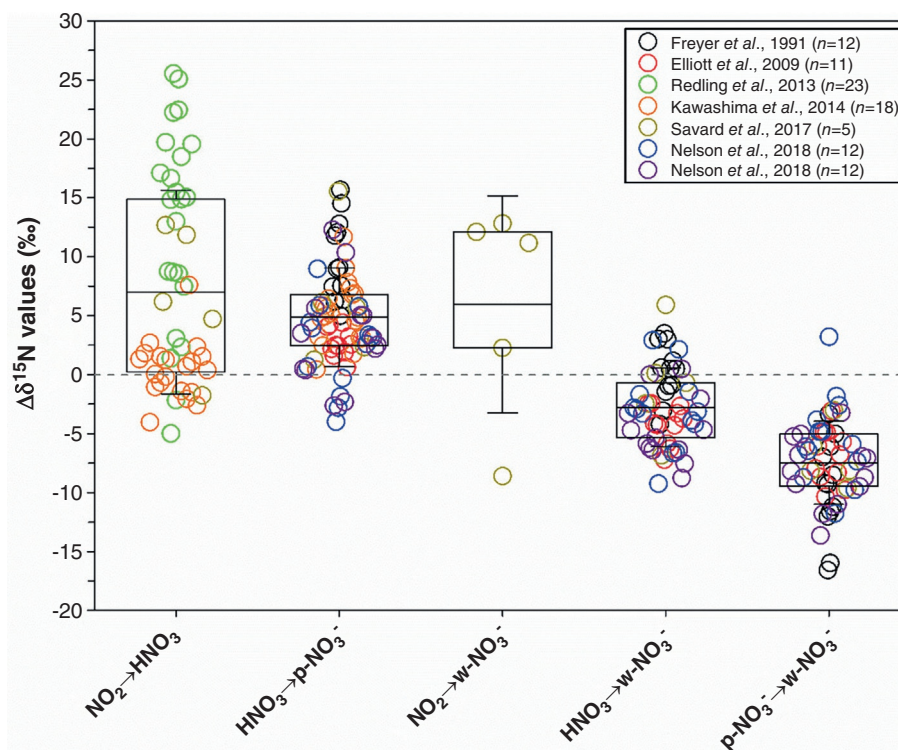
+0.4‰ to −31.4‰ with a mean of  $-11.4 \pm 6.9$ ‰, similar to the mean of  $-10.2 \pm 2.2$ ‰ in Grenoble, France (Albertin et al., 2021). While all these  $\delta^{15}\text{NO}_2$  are within the range of  $\text{NO}$  emission sources, they seem to be biased low for typical urban settings where vehicles (−3‰) and EGUs (+10 to +15‰) make up the majority of  $\text{NO}_x$  emissions. In particular, the data points around −30‰ would require  $\text{NO}$  being derived solely from soil emissions, which seems unlikely. These low  $\delta^{15}\text{NO}_2$  are consistent, however, with an LCIE effect of −10‰ and a total  $\text{NO}_x$  isotope mass balance of sources of  $\sim 0$ ‰.

Further evidence of photochemical isotope effects occurring during  $\text{NO}_x$  oxidation is the seasonal trends in  $\delta^{15}\text{N}$  of  $\text{NO}_{3\text{atm}}^-$ . Freyer first noted the seasonal oscillation in the  $\delta^{15}\text{N}$  of rainwater  $\text{NO}_3^-$  as well as in co-collected  $\text{NO}_2$  and  $\text{pNO}_3^-$  (Freyer, 1978; Freyer, 1991). Most subsequent studies on  $\text{NO}_{3\text{atm}}^-$  collected longer than 1 year (Fig. 7) have noted the same trend, with elevated  $\delta^{15}\text{N}$  in the winter and minimums in early summer (Hastings et al., 2003; Huang et al., 2021; Nelson et al., 2018; Wang et al., 2019; Bekker et al., 2023; Freyer, 1991; Riha, 2014; Kawashima, 2019). The trend follows seasonal trends in temperature and sunlight hours suggesting either, or both, of these factors could be playing a role in the observed  $\delta^{15}\text{NO}_{3\text{atm}}$  seasonal trend. Temperature could be acting on the  $\text{NO}$ – $\text{NO}_2$  isotope exchange equilibrium (EX1) enrichment factor. Between 260 and 320 K  $\epsilon_{\text{NO}_2/\text{NO}} = 0.20$ ‰/K with an increasing  $\delta^{15}\text{NO}_2$  with decreasing temperature (Begun and Fletcher, 1960; Walters and Michalski, 2015; Walters et al., 2016). Thus, the observed  $\sim 5$ ‰ higher  $\delta^{15}\text{NO}_{3\text{atm}}$  values in winter could be explained by a 20 K seasonal change in temperature, which is not unreasonable, and has been observed in  $\delta^{15}\text{N}$  of  $\text{pNO}_3^-$  as daily average temperature changed (Kawashima, 2019). Alternatively, sunlight that drives  $\text{NO}_y$  cycling also changes seasonally. Li et al. experimentally assessed the  $\delta^{15}\text{NO}_x$  as a function of the Leighton cycle isotopic effect (LCIE), the combined isotope effects occurring during of R1, R2, and EX. 1 (Li et al., 2020). They found the  $\Delta^{15}\text{N}_{\text{NO}_2-\text{NO}}$  is controlled by LCIE in low  $\text{NO}_x$  environments and both LCIE and that the EX1 effect were important in high  $\text{NO}_x$  environments. The results suggested that more hours of darkness would lead to positive  $\delta^{15}\text{NO}_2$  (with respect to source  $\text{NO}_x$ ) while depleted  $\delta^{15}\text{NO}_2$  ( $\sim -10$ ‰) is expected to occur when there are more daylight hours due to LCIE. This would be consistent with the observed seasonal  $\delta^{15}\text{N}$  trend observed in  $\text{NO}_{3\text{atm}}^-$  since it is ultimately produced from  $\text{NO}_2$ .

Additional evidence of the photochemical effects influencing the  $\delta^{15}\text{N}$  of  $\text{NO}_y$  compounds is the  $\delta^{15}\text{N}$  difference between compounds when they are co-collected. Liu, Yin, and Song compiled a large dataset and showed that different  $\text{NO}_y$  compounds co-sampled in the same study have different  $\delta^{15}\text{N}$  values (Fig. 8) indicating the EIE, KIE, VPIE, and PHIFE are partitioning  $^{15}\text{N}$  during  $\text{NO}_x$  oxidation (Liu et al., 2020). Studies have shown that  $\delta^{15}\text{N}$  values in  $\text{pNO}_3^- > \text{HNO}_3 > \text{NO}_3^-(\text{aq}) > \text{NO}_2$ , such as Bekker et al. who showed that  $\text{pNO}_3^-$  and  $\text{HNO}_3$  had the same 10‰ seasonal change in  $\delta^{15}\text{N}$  (peaking in winter; Fig. 7) but that  $\text{HNO}_3$  was −3.8‰ relative to  $\text{pNO}_3^-$  (Bekker et al., 2023). These differences are in general agreement with recent results from an  $^{15}\text{N}$  enabled photochemical box model that showed EIE, KIE and PHIFE leads to  $\text{NO}_{3\text{atm}}^-$  being enriched relative to the precursor  $\text{NO}_x$  (up to 40‰) but also that this enrichment decreases as more  $\text{NO}_2$  gets oxidized into  $\text{NO}_{3\text{atm}}^-$  (Fang et al., 2021). They were also in general agreement with chamber experiment examining the LCIE (Li et al., 2020). Kawashima et al. is the one study where  $\text{NO}_2$  and  $\text{HNO}_3$   $\delta^{15}\text{N}$  values were nearly the same (Fig. 8). But according to the methodology described therein, the  $\text{NaOH}$ –triethanolamine filter used to collect  $\text{NO}_2$  was in front of the potassium carbonate impregnated filter to collect  $\text{HNO}_3$  and it is likely a substantial fraction of  $\text{HNO}_3$  would have reacted on the  $\text{NaOH}$  coating resulting in a  $\delta^{15}\text{N}$  bias.



**Fig. 7** The seasonal change of in the  $\delta^{15}\text{N}$  of  $\text{NO}_{3\text{atm}}^-$  observed in northern hemisphere studies. Winter months have higher  $\delta^{15}\text{N}$  values than the minimums observed in summer. Rain  $\text{NO}_3^-$  and gas  $\text{HNO}_3$  (solid circles) tend to have lower  $\delta^{15}\text{N}$  than  $\text{pNO}_3^-$  ( $\text{PM}_{2.5}$  and  $\text{PM}_{10}$ ; open circles) but exhibit the same seasonal trend. Studies with more than 2 years of data are monthly averages for all years and Freyer's multiple icons are for 4 different sites in Germany.



**Fig. 8** Box and whisker plots of the  $\Delta^{15}\text{N} = \delta^{15}\text{N}_{\text{prod}} - \delta^{15}\text{N}_{\text{react}}$  compiled by Liu et al. from various studies (inset) where two or more  $\text{NO}_y$  compounds were co-collected in the same study. For example, the  $\delta^{15}\text{N}$  value of  $\text{HNO}_3$  is about 7‰ enriched relative to  $\text{NO}_2$  and rain  $\text{NO}_3^-$  is about -8‰ relative to  $\text{pNO}_3^-$  in the same air mass. Permission from Liu XY, Yin YM, and Song W (2020) Nitrogen isotope differences between major atmospheric  $\text{NO}_y$  species: Implications for transformation and deposition processes. *Environmental Science & Technology Letters* 7(4): 227–233. <https://doi.org/10.1021/acs.estlett.0c00105>.

The cause of the  $\delta^{15}\text{N}$  differences between the different phases of  $\text{NO}_3^-_{\text{atm}}$  is still an open question. One possible explanation is isotope effects occurring during phase changes, such as a  $\text{HNO}_3$  diffusion effect. However, this seems unlikely because the isotope effect would be small and the high Henry's law constant for  $\text{HNO}_3$  indicates it will effectively partition all  $\text{HNO}_3$  into the liquid phase during washout. An alternative explanation is that cloud  $\text{NO}_3^-$  is formed from residual  $\text{NO}_x$  in an "aged" air mass. This could be due to different  $\text{NO}_x$  sources being transported from a distance, cloud aqueous  $\text{NO}_x$  chemistry, or "aged"  $\text{HNO}_3$  incorporated into the cloud. Another possibility is that aqueous phase oxidation of  $\text{NO}_2$  is more important than previously thought and produces low  $\delta^{15}\text{NO}_3^-$  during rainfall (Liu et al., 2020). Regardless of the mechanism, it is hard to reconcile seasonal or hourly  $\delta^{15}\text{N}$  differences in co-sampled  $\text{NO}_y$  to a change in  $\text{NO}_x$  sources and thus is more likely a result of photochemical isotope effects.

### Oxygen isotope fractionations during the production of $\text{NO}_y$ and tropospheric observations

The same Rayleigh model use to describe  $\delta^{15}\text{N}$  variations in tropospheric  $\text{NO}_y$  can be applied to the oxygen isotopic composition of  $\text{NO}_y$  products.

$$\delta^{18}\text{O}_{\text{prod}} = \sum f_i \cdot \delta^{18}\text{O}_{\text{react } i} + f_{\text{NO}_x} \cdot \sum^{18}\epsilon_{\text{rxn } i} \quad (\text{Closed; 22})$$

$$\delta^{18}\text{O}_{\text{prod}} = \sum f_i \cdot \delta^{18}\text{O}_{\text{react } i} + (\ln f_{\text{NO}_x} + 1) \cdot \sum^{18}\epsilon_{\text{rxn } i} \quad (\text{Open; 23})$$

And

$$\delta^{17}\text{O}_{\text{prod}} = \sum f_i \cdot \delta^{17}\text{O}_{\text{react } i} + f_{\text{NO}_x} \cdot \sum^{17}\epsilon_{\text{rxn } i} \quad (\text{Closed; 24})$$

$$\delta^{17}\text{O}_{\text{prod}} = \sum f_i \cdot \delta^{17}\text{O}_{\text{react } i} + (\ln f_{\text{NO}_x} + 1) \cdot \sum^{17}\epsilon_{\text{rxn } i} \quad (\text{Open; 25})$$

These equations are less straightforward than the nitrogen case because  $f_i$  tends to be dominated by oxygen participating in the photo-oxidation rather than reflecting the oxygen isotopes in the initial  $\text{NO}_x$  emissions. This oxygen is mainly derived from  $\text{O}_3$ ,  $\text{O}_2$  and  $\text{H}_2\text{O}$ . Focusing on the  $\Delta^{17}\text{O}$  of the reactants and products using isotope mass balance yielding:



$$\Delta^{17}\text{O}_{\text{prod}} = \Sigma f_i \bullet \Delta^{17}\text{O}_{\text{react}} + f_{\text{NO}_x} \bullet \Sigma \Delta \epsilon_{\text{rxn}} \quad (26)$$

where  $\Delta \epsilon_{\text{rxn}}$  is any MIF occurring during a  $\text{NO}_x$ - $\text{NO}_y$  oxidation reactions. However, ozone formation is the only known tropospheric reaction that has a major MIF (Section “[Isotopologues of tropospheric oxidants](#)”), which only enters the  $\text{NO}_y$  cycle after the fact. Under this assumption then,  $\Sigma \Delta \epsilon_{\text{rxn}} = 0$ , and since  $\text{O}_2$  and  $\text{H}_2\text{O}$  both have  $\Delta^{17}\text{O} \sim 0\text{‰}$ , and that  $\text{O}_3$  transfers the terminal O atom during oxidation reactions Eq. (26) reduces to:

$$\Delta^{17}\text{O}_{\text{prod}} = f_{\text{O}_3} \bullet \Delta^{17}\text{O}_{\text{T}} \quad (27)$$

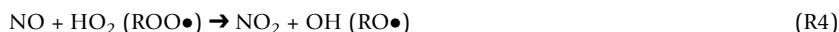
Where  $f_{\text{O}_3}$  is the fraction of oxygen derived from  $\text{O}_3$  and  $\Delta^{17}\text{O}_{\text{T}}$  is the  $\Delta^{17}\text{O}$  value of the terminal O atom of ozone that is equal to  $1.5 \bullet \text{bulk } \text{O}_3 \Delta^{17}\text{O}$ . A higher  $\Delta^{17}\text{O}$  measured in  $\text{NO}_{3\text{atm}}$  is thus interpreted as a higher  $\text{O}_3$  oxidation potential.

To predict the  $\Delta^{17}\text{O}$  of  $\text{NO}_{3\text{atm}}$  using this isotope mass balance approach requires assessing  $f_{\text{O}_3}$  for the reactions (Fig. 1) that convert  $\text{NO}_x$  into  $\text{NO}_{3\text{atm}}$  (Michalski et al., 2003). First step is determining the  $\Delta^{17}\text{O}$  in  $\text{NO}_2$  from the basic  $\text{NO}_x$  cycle (R1–R3).



Under mid-latitude daytime conditions,  $\text{NO}_2$  is photolyzed approximately every 3 min so during peak daylight hours  $f_{\text{O}_3} = 1$  when other oxidants ( $\text{HO}_2$ ,  $\text{RO}_2$ ) are ignored and therefore  $\Delta^{17}\text{O}_{\text{NO}_2} = \Delta^{17}\text{O}_{\text{T}}$ . Based on published tropospheric  $\text{O}_3$  values this equates to  $\Delta^{17}\text{NO}_2 \sim 39.2\text{‰}$  (Vicars and Savarino, 2014). This agrees with  $\text{NO}_x$ - $\text{O}_3$  photochemical equilibrium experiments at high  $\text{NO}_x$  mixing ratios ( $\text{ppm}_v$ ) (Michalski et al., 2014) and similar to the maximum value found in ambient  $\text{NO}_2$  in Grenoble, France (Albertin et al., 2021). However, an explicit isotope kinetics model used to simulate the  $\text{NO}_x$ - $\text{O}_3$  photochemical equilibrium experimental data predicts a  $\Delta^{17}\text{NO}_2 \sim 45\text{‰}$  when  $\text{NO}_x$  is at  $\text{ppb}_v$  levels (Michalski et al., 2014). This discrepancy between observed  $\text{NO}_2 \Delta^{17}\text{O}$  and experimental predictions may be in part due to the fact that observed tropospheric  $\text{O}_3 \Delta^{17}\text{O}$  values are lower than those in produced pressure and temperature  $\text{O}_3$  formation experiments (Section “[Isotopologues of tropospheric  \$\text{O}\_3\$](#) ”).

$\text{NO}$  oxidation is not exclusively by ozone, however, but can also occur through oxidation by peroxy radicals (Fig. 1, R 4). The majority of peroxy radicals are produced when radical species such as  $\cdot\text{H}$ ,  $\cdot\text{CH}_3$ , and  $\cdot\text{R}$  (organic radical) generated by reactions with  $\text{OH}$  radicals, combine with atmospheric  $\text{O}_2$  (R16) and the peroxy radicals subsequently oxidize  $\text{NO}$  (R4):



Yielding

$$\Delta^{17}\text{O}_{\text{NO}_2} = (1 - f_{\text{peroxy}}) \bullet \Delta^{17}\text{O}_{\text{T}} = A \bullet \Delta^{17}\text{O}_{\text{T}} \quad (28)$$

since  $f_{\text{peroxy}} + f_{\text{O}_3} = 1$  (assuming all  $\text{NO}$  is oxidized by either  $\text{O}_3$  or peroxy radicals). Setting  $1 - f_{\text{peroxy}} = A$  simplifies the equations and avoids variable symbols like  $\alpha$  and  $\beta$  used previously (Michalski et al., 2003; Morin et al., 2009) that have other meanings in stable isotopes theory (See Section “[Stable isotope abundance nomenclature, fractionations, and mass balance](#)”). Peroxy radical oxidation of  $\text{NO}$  lowers the  $\Delta^{17}\text{NO}_2$ , which was shown by Albertin et al. to vary over the course of a day from  $29.7\text{‰}$  to  $39.2\text{‰}$  (Albertin et al., 2021).

Higher oxides of nitrogen,  $\text{NO}_3$  and  $\text{N}_2\text{O}_5$ , are produced by additional oxidation by ozone (R6, R8). Again, the isotopic mass balance approximation can be used by assuming no MIF during the reactions.  $\text{NO}_3$  radical obtain 2/3 of their O atoms from  $\text{NO}_2$  and 1/3 from  $\text{O}_3$  while  $\text{N}_2\text{O}_5$  obtain 2/5 from  $\text{NO}_2$  and 3/5 from  $\text{NO}_3$ :



$$\Delta^{17}\text{O}_{\text{NO}_3} = 2/3 A \bullet \Delta^{17}\text{O}_{\text{T}} + 1/3 \bullet \Delta^{17}\text{O}_{\text{T}} = [(2A + 1)/3] \bullet \Delta^{17}\text{O}_{\text{T}} \quad (29)$$



$$\Delta^{17}\text{O}_{\text{N}_2\text{O}_5} = 2/5 A \bullet \Delta^{17}\text{O}_{\text{T}} + 3/5 (2A + 1)/3 \bullet \Delta^{17}\text{O}_{\text{T}} = [(4A + 1)/5] \bullet \Delta^{17}\text{O}_{\text{T}} \quad (30)$$

In the final steps of nitric acid formation, two other oxygen sources enter into the equation,  $\text{OH}$  radicals and liquid water. The primary oxidation pathway for the production of nitric acid from  $\text{NO}_2$  is through  $\text{OH}$  oxidation (R5) and where 2/3 O atoms in the product  $\text{HNO}_3$  come from  $\text{NO}_2$  and 1/3 from  $\text{OH}$  ( $\Delta^{17}\text{O} = 0$ ). An important alternative pathway to form nitric acid is via the heterogeneous hydrolysis of  $\text{N}_2\text{O}_5$  on wet aerosol surfaces (R9) and where 5/6 of the O atoms in the product  $\text{HNO}_3$  come from  $\text{N}_2\text{O}_5$  and 1/6 from  $\text{H}_2\text{O}$ . Finally, a minor but non-trivial pathway to nitric acid is through hydrogen abstraction from VOCs by nitrate radicals (R7) with all O atoms coming from the  $\text{NO}_3$  radical. Using the assumption that  $\Delta^{17}\text{O}$  values of both tropospheric water and for the EIE for the  $\text{OH} + \text{H}_2\text{O}$  isotope exchange reaction is  $0\text{‰}$  (Section “[Isotopologues in the tropospheric  \$\text{HO}\_x\$  cycle](#)”) then:





$$\Delta^{17}\text{O}_{\text{R5}} = 2/3 \bullet A \bullet \Delta^{17}\text{O}_{\text{T}} \quad (\text{31})$$



$$\Delta^{17}\text{O}_{\text{R7}} = (2A + 1)/3 \bullet \Delta^{17}\text{O}_{\text{T}} \quad (\text{32})$$



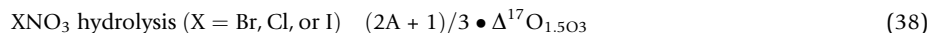
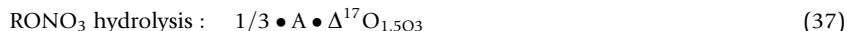
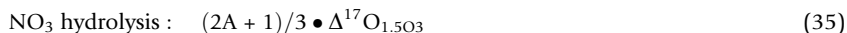
$$\Delta^{17}\text{O}_{\text{R9}} = 5/6(4A + 1)/5 \bullet \Delta^{17}\text{O}_{\text{T}} = (4A + 1)/6 \bullet \Delta^{17}\text{O}_{\text{T}} \quad (\text{33})$$

For a  $A = 0.7$  and a  $\Delta^{17}\text{O}_{\text{T}} = 39\text{‰}$  this yields  $\Delta^{17}\text{O}_{\text{R5}} = 18.2\text{‰}$ ,  $\Delta^{17}\text{O}_{\text{R7}} = 31.2\text{‰}$ , and a  $\Delta^{17}\text{O}_{\text{R9}} = 24.7\text{‰}$ , e.g. different  $\Delta^{17}\text{O}$  values for the different oxidation pathways. The total  $\Delta^{17}\text{O}$  value for  $\text{HNO}_3$  is then:

$$\Delta^{17}\text{O}_{\text{HNO}_3} = f_{\text{R5}} \bullet \Delta^{17}\text{O}_{\text{R5}} + f_{\text{R7}} \bullet \Delta^{17}\text{O}_{\text{R7}} + f_{\text{R9}} \bullet \Delta^{17}\text{O}_{\text{R9}} \quad (\text{34})$$

Therefore,  $\Delta^{17}\text{O}$  can be used to constrain the relative importance of NO oxidation by peroxy radicals ( $A = 1 - f_{\text{peroxy}}$ ) and the relative importance of the 3  $\text{HNO}_3$  production pathways:  $f_{\text{R5}}$ ,  $f_{\text{R7}}$ , and  $f_{\text{R9}}$ . Given that R7 is typically a minor part of total  $\text{HNO}_3$  production, the  $\Delta^{17}\text{O}$   $\text{HNO}_3$  pathway effect is limited to about  $22 \pm 3\text{‰}$  (at  $A = 0.7$ ). Variations in  $A$ , on the other hand, have the potential to cause greater  $\Delta^{17}\text{O}$  variation and should be observable in systems with high VOCs such as isoprene, which enhances  $\text{RO}_2$  production. Conversely, when modeling  $\text{NO}_{3\text{atm}}$   $\Delta^{17}\text{O}$  using photochemical mechanisms (such as RACM or MCM), the models are used to calculate  $A$ ,  $f_{\text{R5}}$ ,  $f_{\text{R7}}$ , and  $f_{\text{R9}}$  from which the predicted  $\Delta^{17}\text{O}$  is calculated and then compared to the observed  $\Delta^{17}\text{O}$  values.

This initial  $\Delta^{17}\text{O}$  isotope mass balance approach proposed by Michalski et al. (2003) was subsequently amended to include additional reactions that become important in certain environments. In places where halogen chemistry becomes important such as the coastal polar region where halogens such as BrO mimic  $\text{O}_3$  oxidization of NO, then  $A = 1 - (f_{\text{O}_3} + f_{\text{BrO}})$ . Also in these environments,  $\text{BrONO}_2$  hydrolysis produces  $\text{NO}_{3\text{atm}}$  (Morin et al., 2009; Morin et al., 2008). Likewise, recent research has suggested heterogeneous hydrolysis of  $\text{NO}_3$ ,  $\text{NO}_2$ ,  $\text{RONO}_2$  on aerosols and/or liquid water (fog, clouds, rain) may be important in highly polluted urban environments (Kim et al., 2023; Liu et al., 2019). For these, assuming no additional isotopic exchange with water, yields:



This  $\Delta^{17}\text{O}$  mass balance model has been used to try and interpret  $\Delta^{17}\text{O}$  in ambient  $\text{NO}_y$  collected from a range of environments.

### Observations of $\delta^{18}\text{O}$ and $\Delta^{17}\text{O}$ of ambient $\text{NO}_x$ and HONO

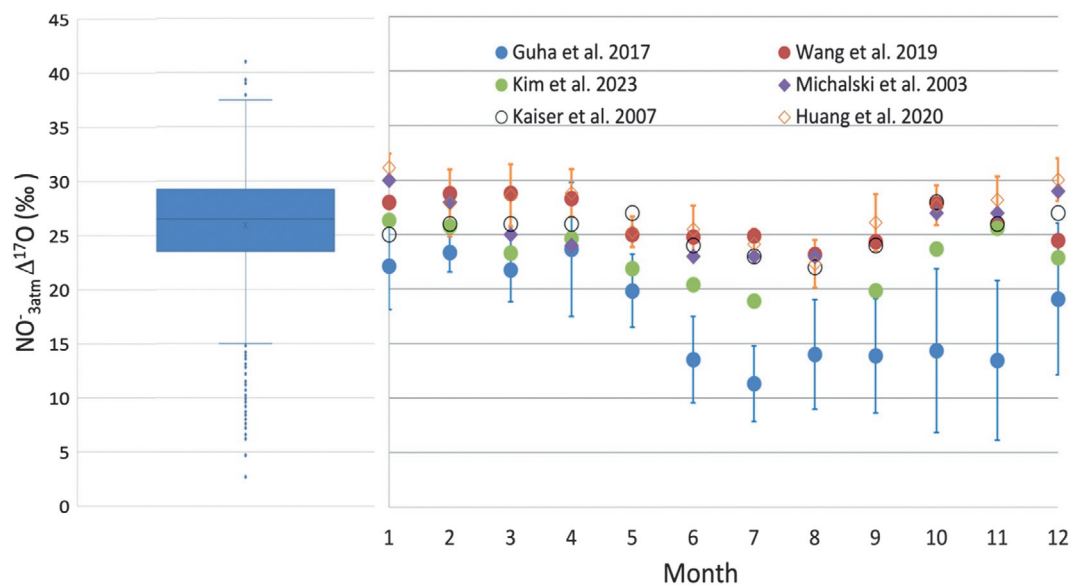
The direct measurement of  $\text{NO}_x$   $\delta^{18}\text{O}$  or  $\Delta^{17}\text{O}$  in  $\text{NO}_x$  sources has received little attention because  $\text{NO}_x$  photochemical cycling is rapid (a few minutes) and any source signature is lost in the isotopic scrambling, but oxygen isotopes in ambient  $\text{NO}_x$  and HONO has been measured. Four studies have measured oxygen isotopes on  $\text{NO}_2$  and several published collection/analysis techniques (Walters et al., 2018; Albertin et al., 2021; Blum et al., 2023; Zhou et al., 2022), suggesting more studies will be forthcoming. Felix and Elliott measured the  $\delta^{18}\text{O}$  values of  $\text{NO}_2$  collected by passive samplers inside a traffic tunnel and found an average of  $-12.6 \pm 3.1\text{‰}$  while outside the tunnel the values ranged from  $-8.4$  to  $+7.8\text{‰}$  (Felix and Elliott, 2014). They attributed this to negative  $\delta^{18}\text{O}$  in tail pipe  $\text{NO}_2$  (tunnel) mixing with photo equilibrated  $\text{NO}_2$  (outside), while others suggested it was an artifact because the passive sampling technique impacts the oxygen isotopes (Dahal and Hastings, 2016). Walters et al. measured the  $\delta^{18}\text{O}$  of  $\text{NO}_2$  in a rural site finding a range between  $\sim +40$  and  $+110\text{‰}$ , with daytime values ( $86.5 \pm 14.1\text{‰}$ ) being significantly higher than nighttime values ( $56.3 \pm 7.1\text{‰}$ ). This was attributed to isotopic photo-equilibrium with  $\text{O}_3$  during the daytime and nighttime  $\text{NO}_x$  emissions that cannot photo-equilibrate in darkness (Walters et al., 2018). Albertin et al. first measured both  $\Delta^{17}\text{O}$  and  $\delta^{18}\text{O}$  of  $\text{NO}_2$  in a study during a single day of sampling collected at three-hour intervals and also found a diurnal difference as well significant daytime variation (Albertin et al., 2021). Daytime  $\text{NO}_2$   $\Delta^{17}\text{O}$  values varied between a low of  $29.7\text{‰}$  and peaked in the late morning at  $39.2\text{‰}$  before decreasing at night to  $20.5 \pm 0.3\text{‰}$ . There was a strong correlation between  $\text{NO}_2$   $\delta^{18}\text{O}$  and  $\Delta^{17}\text{O}$  with an  $R^2 = 0.86$ . The daytime  $\Delta^{17}\text{O}$  and  $\delta^{18}\text{O}$  variability was attributed to changes in the proportion of NO oxidized by  $\text{O}_3$  relative  $\text{RO}_2$ , while the night-time decrease was due to isotopic dilution by freshly emitted NO that produce  $\text{NO}_2$  that has yet to photo-equilibrate. This is because during the night, any NO emitted can still undergo oxidation chemistry when  $\text{O}_3$  is present and subsequent isotope exchange with higher N oxides such as via EX. 1 and R8. The first measurements of the  $\delta^{18}\text{O}$  of HONO have recently been reported (Chai et al., 2019). HONO collected in western US wildfire plumes had a low  $\delta^{18}\text{O}$  in unaged plumes of  $9.8\text{‰}$  and a high in aged plumes of  $78\text{‰}$ . The isotopes suggested that  $85\%$  to  $95\%$  of HONO production was  $\text{NO}_2$ -to-HONO heterogeneous reactions and only  $5\%$  to  $15\%$  was from the  $\text{OH} + \text{NO}$  reaction and was used to constrain the ozone and peroxy radicals branching ratio (R1, R3).

### Observations of $\Delta^{17}\text{O}$ in $\text{NO}_{3\text{atm}}^-$

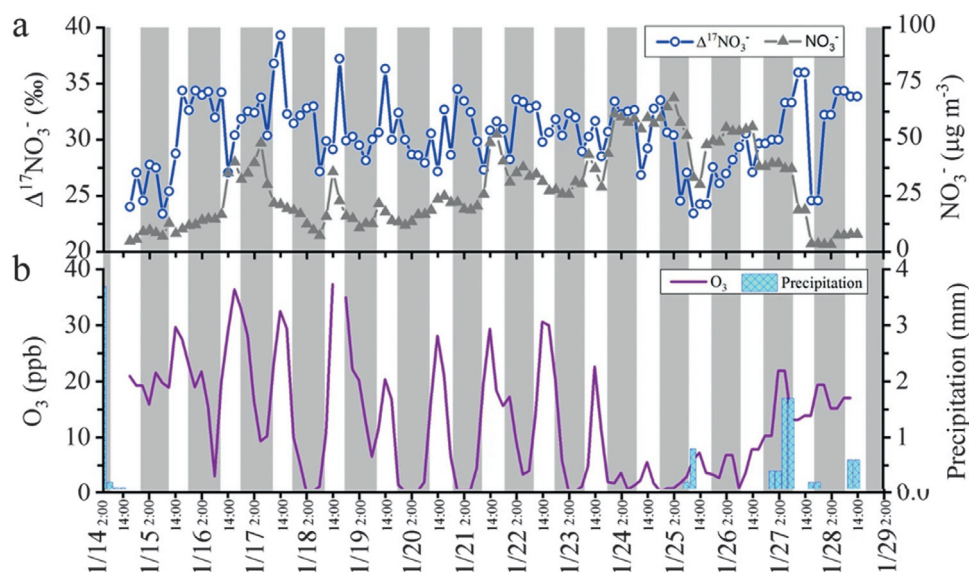
The number of studies utilizing  $\Delta^{17}\text{O}$  to understand  $\text{NO}_y$  chemistry has grown rapidly over the past decade. An analysis of over 1000 published  $\text{NO}_{3\text{atm}}^- \Delta^{17}\text{O}$  values yielded a mean of 25.9‰ with 1 $\sigma$  standard deviation of 5.5‰ (Fig. 9). The few maximum outliers near +40‰ are aerosols collected from Antarctica/South Pole and the cluster of outlier minimums at  $10 \pm 5\%$  were  $\text{NO}_3^-$  extracted from total suspended particles collected at Mt. Lulin, Taiwan. Both these extremes are consistent with the global  $\text{NO}_{3\text{atm}}^- \Delta^{17}\text{O}$  modeling using GEOS-Chem (Alexander et al., 2009a; Alexander et al., 2020). The high valued Antarctica/SP aerosols were collected during the months of August and September (Savarino et al., 2007; Walters et al., 2019) when Alexander et al.'s model predicts  $\Delta^{17}\text{O} \sim 40\%$  over Antarctica. Other high values above the 3rd quartile ( $+34 \pm 5\%$ ) are from megacities in China during severe haze events when  $\text{N}_2\text{O}_5$  hydrolysis plays an important role in  $\text{NO}_{3\text{atm}}^-$  production (Fan et al., 2023; Wang et al., 2023; Yin et al., 2022; Zhang et al., 2022). The low  $\Delta^{17}\text{O}$  values from Mt. Lulin (Guha et al., 2017) were primarily from samples collected in June–September when the GEOS-Chem model predicts  $\Delta^{17}\text{O}$  to be  $\sim 10\%$  (see Fig. 13) over southeast Asia and the islands of Oceania. These low  $\Delta^{17}\text{O}$  predictions are due to VOC emissions from tropical rainforests causing a decrease in the A factor via increased peroxy radical oxidation of NO (R4). Back trajectories confirmed that many of the sampling days corresponded with transport to Taiwan from the low  $\Delta^{17}\text{O}$  regions (Guha et al., 2017). The mean  $\Delta^{17}\text{O}$  value for all studies may be biased high because the data is disproportionately from large urban areas in China, the US, and Europe and polar regions, where  $\Delta^{17}\text{O}$  values in  $\text{NO}_{3\text{atm}}^-$  are expected to be high relative to the total planetary boundary layer (Alexander et al., 2020).

One consistent observation between long-term studies ( $\sim 1$  year) is the  $\Delta^{17}\text{O}$  seasonal pattern (Guha et al., 2017; Huang et al., 2020; Kaiser et al., 2007; Kim et al., 2023; Michalski et al., 2003; Wang et al., 2019).  $\Delta^{17}\text{O}$  values in  $\text{NO}_{3\text{atm}}^-$  peak in winter months and decline to their minimum values in summer (Fig. 9). The working hypothesis to explain this observation is a shift in chemistry related to sunlight and temperature. Longer nights tend to favor the production of nitrate radical and  $\text{N}_2\text{O}_5$ , which are photolyzed during the daytime. In addition, cooler temperatures favor the  $\text{N}_2\text{O}_5$  product in the R8 equilibrium. Therefore, the winter months or more conducive to the production of nitric acid by that heterogeneous hydrolysis pathway (Chang et al., 2018; Dentener and Crutzen, 1993; Michalski et al., 2003). In contrast during the summer, sunlight produces more OH radicals and peroxy radicals, which decreases the A factor and enhances nitric acid production via the OH pathway (Alexander et al., 2009a; Alexander et al., 2020; Michalski et al., 2003).

High temporal/spatial resolution  $\text{NO}_{3\text{atm}}^-$  sampling has shown that  $\Delta^{17}\text{O}$  has the ability to trace changes  $\text{NO}_x$  oxidation chemistry, not only at the seasonal scale, but at the hourly scale as well. Nitrate in rainwater collected from the same storm system collected at multiple sites within the city of Tucson, USA had a large spatial  $\Delta^{17}\text{O}$  variation of 22.1 to 30.6‰ (Riha et al., 2014). Similarly, high temporal sampling of the same storms at a single site in West Virginia, USA showed that rainwater  $\Delta^{17}\text{O}$  can vary by 10‰ over the course of a single event suggesting evolving chemistry as wet deposition removes pollutants from the atmosphere (Rose et al., 2019).  $\text{NO}_3^-$  extracted from  $\text{PM}_{2.5}$  collected roughly every 3 h for 2 weeks during an extreme haze event in Nanjing, China (Zhang et al., 2022) showed  $\Delta^{17}\text{O}$  varying by 15‰ (Fig. 10). The temporal changes appeared to be related to extreme changes in  $\text{O}_3$  concentrations, which dropped to near zero during the night, likely due to  $\text{O}_3$  loss on particle surfaces.



**Fig. 9** A box and whisker plot of  $\Delta^{17}\text{O}$  in  $\text{NO}_{3\text{atm}}^-$  collected in the troposphere. The middle line of the box is the median, the X represents the mean, bottom of the box is the 1st quartile the top is median of the 3rd quartile. The whiskers extend capture minimum value and maximum values and data points are considered statistical outliers. On the right is the seasonal change in  $\Delta^{17}\text{O}$  of  $\text{NO}_{3\text{atm}}^-$  for select northern hemisphere, mid-latitude sampling sites. The  $\text{NO}_{3\text{atm}}^-$  types are aerosols (Michalski et al., 2003; Wang et al., 2019), rainwater (Guha et al., 2017; Kaiser et al., 2007; Huang et al., 2020), and gas phase  $\text{HNO}_3$  (Kim et al., 2023). Error bars on Guha et al. and Huang et al. are the measured  $\Delta^{17}\text{O}$  variation within a given month with the former collection period spanning a year and the latter 3 years.

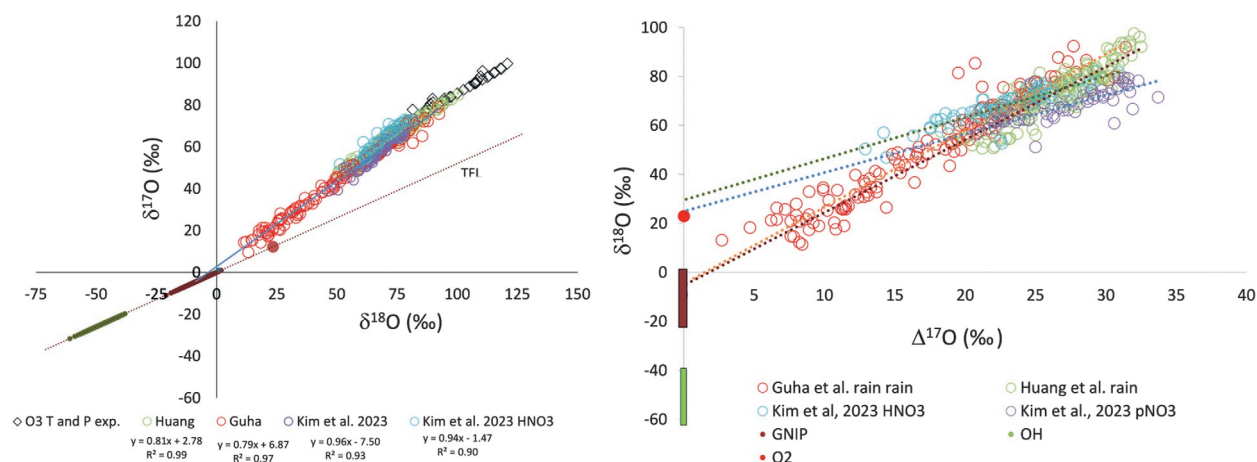


**Fig. 10** The  $\Delta^{17}\text{O}$  of  $\text{NO}_3^-$  in  $\text{PM}_{2.5}$  collected in late January (2015) in Nanjing, China during an extreme haze event. Over the course of the event the  $\text{PM}_{2.5}$  increased from 50 to 250  $\mu\text{g}/\text{m}^3$  over the two-week period along with  $\text{pNO}_3^-$  (upper panel). Low  $\Delta^{17}\text{O}$  were associated with low  $\text{O}_3$  concentrations and wet deposition of PM and gases due to rain occurring (lower panel) at the end of the event. Adapted from Zhang YL., Zhang W, Fan MY, Li J, Fang H, Cao F, Lin YC, Wilkins BP, Liu X, Bao M, Hong Y, and Michalski G (2022) A diurnal story of  $\Delta^{17}\text{O}$   $\text{NO}_3^-$  in urban Nanjing and its implication for nitrate aerosol formation. *npj Climate and Atmospheric Science* 5(1). <https://doi.org/10.1038/s41612-022-00273-3>.

Also, a significant drop in  $\Delta^{17}\text{O}$  ( $\sim 10\%$ ) occurred just after rain cleansed the atmosphere, again tracing the change in chemistry driven by wet deposition removal of pollutants from the boundary layer. Recent data from Kim et al. has shown that the shifts in  $\text{NO}_x$  oxidation pathways also is evident in the phase of  $\text{NO}_{3\text{atm}}^-$ . Analyzing samples of  $\text{HNO}_3(\text{g})$  and  $\text{pNO}_3^-$  co-collected from three US EPA Clean Air Status and Trends Network (CASTNET) sites in the northeastern US yielded statistically significant differences between gas phase  $\text{HNO}_3$  and  $\text{pNO}_3^-$  at all 3 sites (Kim et al., 2023). The  $\text{HNO}_3$  had a  $\Delta^{17}\text{O}$  mean of  $22.8 \pm 3.6\%$  while the  $\text{pNO}_3^-$  was about  $5\%$  higher at  $27.1 \pm 3.8\%$ . This would be consistent with gas phase OH reaction (R5) generating lower  $\Delta^{17}\text{O}$  values in gas  $\text{HNO}_3$  relative to the direct uptake of  $\text{N}_2\text{O}_5$  on particle surface that would produce higher  $\Delta^{17}\text{O}$  values (R9, Eq. 33). Once in the particle phase it is unlikely that  $\text{HNO}_3$  could be volatilized from  $\text{pNO}_3^-$  given the extremely high Henry's law coefficient for  $\text{HNO}_3$  and that  $\text{HCl}$  will volatilize before  $\text{HNO}_3$ . This suggest that  $\text{HNO}_3(\text{g})$  is unequilibrated with the particle phase at these CASTNET sites. This may be different in environments where  $\text{NH}_4\text{NO}_3$  is the main chemical composition of  $\text{pNO}_3^-$  and phase equilibrium is more readily achieved and equitable (Morino et al., 2006).

### Observations of $\delta^{18}\text{O}$ in $\text{NO}_{3\text{atm}}^-$

The  $\delta^{18}\text{O}$  values of  $\text{NO}_{3\text{atm}}^-$  are usually highly correlated with the  $\delta^{17}\text{O}$  and  $\Delta^{17}\text{O}$  values (Fig. 11). While the slopes slightly vary between study sites, there is a typical slope  $\delta^{17}\text{O}/\delta^{18}\text{O}$  of about  $0.85 \pm 10$  in the dual isotope ratio plot of  $\text{NO}_3^-$  (Fig. 12), with high correlation coefficients ( $>0.93$ ). Since excess  $^{17}\text{O}$  is derived solely from  $\text{O}_3$  oxidation transfer reactions, this strong correlation suggests that the  $\delta^{18}\text{O}$  in  $\text{NO}_{3\text{atm}}^-$  must also be largely controlled by  $\text{O}_3$ 's  $\delta^{18}\text{O}$  composition, since the  $\delta^{18}\text{O}$  T and P dependence can cause a  $\pm 20\%$   $\delta^{18}\text{O}$  variation under tropospheric conditions. However, it is more likely to be true that this strong correlation is the result two distant end members in an isotope mixing line, one being  $\text{O}_3$ , and the other oxygen derived from compounds laying on the TFL (Fig. 11, left). There are several interpretations of the intercept at the TFL. The first is that the  $\delta^{18}\text{O}$  of  $\text{NO}_{3\text{atm}}^-$  is a mixture between the  $\delta^{18}\text{O}$  of  $\text{O}_3$  and average tropospheric  $\text{H}_2\text{O}$ . Alternatively, since  $\text{NO}$  oxidation can incorporate  $\text{O}_2$  (R4) and  $\text{HNO}_3$  formation incorporates OH (R5), the mixing line could be between  $\text{O}_3$  and a  $\sim 50:50$  mixture of  $\text{O}_2$  and OH derived oxygen (Fig. 12 left). Lastly, an equitable mixture of all 3 mass-dependent oxygen sources would have a  $\delta^{18}\text{O} \sim -10\%$ , similar to the TFL intercept (Fig. 11). The difficulty in interpreting the TFL intercept is that extrapolating the slope over  $\sim 80\%$  means that even a small uncertainty in the slope changes the TFL intercept significantly. A convenient visualization to understand the  $^{17}\text{O}$  vs.  $^{18}\text{O}$  relationship is an  $\Delta^{17}\text{O}$  vs.  $\delta^{18}\text{O}$  scatter plot (Fig. 11, right). Michalski et al. first showed that such a plot was useful for assessing mixing between photochemical and microbial derived  $\text{NO}_3^-$  in watersheds (Michalski et al., 2004) but this is true for any mixture between non-zero and a zero  $\Delta^{17}\text{O}$  reservoirs. In other words, the y-intercept (when  $x = \Delta^{17}\text{O} = 0$ ) represents the  $\delta^{18}\text{O}$  of the total  $\text{NO}_x$  oxidation process, both the  $\delta^{18}\text{O}$  of the source (s) and the  $\delta^{18}\text{O}$  alteration via mass-dependent isotope fractionations. Now differences in the intercepts between the two sets of studies is clear. The interpretation here would be that the  $\text{HNO}_3$  and  $\text{pNO}_3^-$  in the CASTNET samples (Kim et al., 2023) were more influenced by  $\text{O}_2$  oxidation whereas the rain  $\text{NO}_3^-$  samples have a greater contribution of  $\text{H}_2\text{O}$  oxygen (Guha et al., 2017; Huang et al., 2020). That the  $\text{NO}_3^-$  in rain has a greater contribution of  $\text{H}_2\text{O}$  compared to  $\text{pNO}_3^-$  and  $\text{HNO}_3(\text{g})$  is intriguing because rain  $\text{NO}_3^-$  is derived either from washout of boundary layer  $\text{pNO}_3^-$  and



**Fig. 11** Dual oxygen isotope ratio plot of  $\text{NO}_3^-_{\text{atm}}$  (left) from 3 select studies (Guha et al., 2017; Huang et al., 2020; Kim et al., 2023). The  $\delta^{17}\text{O}/\delta^{18}\text{O}$  ratio is high (0.8) with very high correlation coefficients ( $R^2 > 95$ ), similar to other studies. The line between troposphere  $\text{O}_3$  (based on T and P experiments) and the intercept at the TFL represents a two end-member isotope mixing line. The Guha et al. intercept could be interpreted as a mixture between tropospheric  $\text{O}_3$  and either tropospheric  $\text{H}_2\text{O}$  (maroon TFL array), or a 50:50 mixture of OH (green TFL array) and  $\text{O}_2$  (TFL red circle) derived oxygen, or some combination of the two. A  $\Delta^{17}\text{O}$  vs  $\delta^{18}\text{O}$  plot of  $\text{NO}_3^-_{\text{atm}}$  from the same 3 studies (right). The best fit line extends through the  $\delta^{18}\text{O}$  values of the non- $\text{O}_3$  oxidants. The spread in  $\delta^{18}\text{O}$  values on the can be the result of both  $\delta^{18}\text{O}$  variation in the compounds (mainly  $\text{H}_2\text{O}$ ) and mass-dependent isotope effects occurring during  $\text{NO}_x$  oxidation into  $\text{NO}_3^-_{\text{atm}}$ .

$\text{HNO}_3$  or rainout of cloud water where dissolved  $\text{NO}_3^-$  is presumably from dissolution of boundary layer cloud condensation nuclei during cloud formation. This means there should be little difference in the between the  $\delta^{18}\text{O}$  of all phases of  $\text{NO}_3^-_{\text{atm}}$ . The observed lower  $\delta^{18}\text{O}$  intercept for rain  $\text{NO}_3^-$  could only be explain by an unaccounted-for aqueous phase reaction that either produces  $\text{NO}_3^-$  or induces some isotopic exchange between  $\text{NO}_y$  and water.

### Modeling isotopologues of $\text{NO}_3^-_{\text{atm}}$

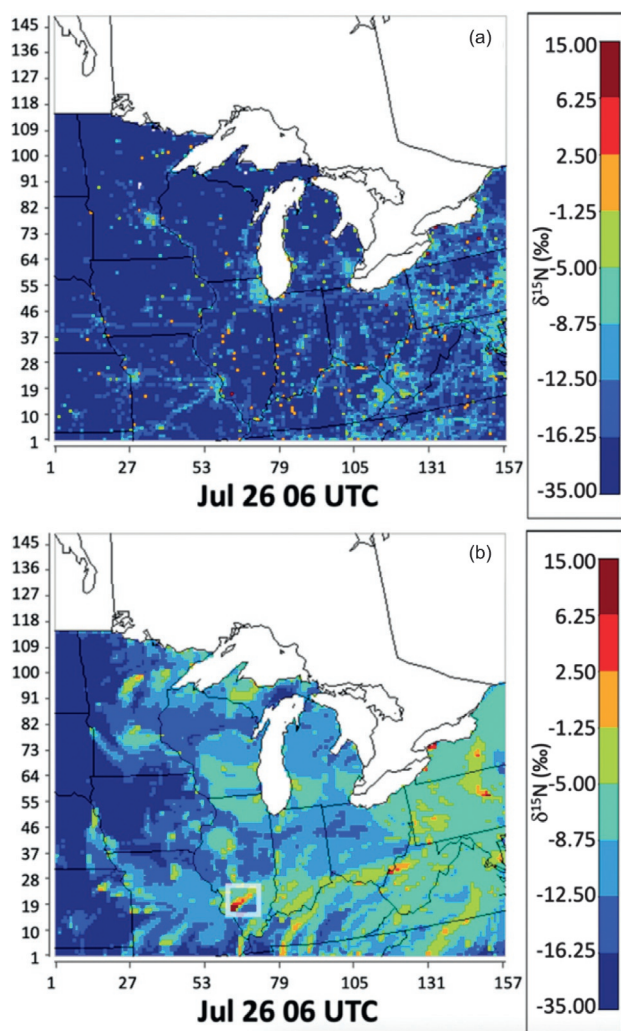
Because  $\text{NO}_y$  isotopologue abundance variations at any point in time are a complex function of  $\text{NO}_x$  emission abundances, their mixing in the atmosphere during transport, and the four mass-dependent isotope effects and  $\text{O}_3$  MIF altering the mixture during transport, computer models are becoming increasingly important for understanding this complexity. Modeling highly reactive isotopologue variations has two general forms: Mass balance mixing models and explicit mechanistic models. Each has different levels of sophistication, advantages, and disadvantages.

### Isotope mass balance mixing models for $\delta^{15}\text{N}$ , $\delta^{18}\text{O}$ , and $\Delta^{17}\text{O}$

Isotope mass balance mixing models (Section “Isotope mass balance”) assume that isotopologues are acting as conservative tracers in the atmosphere and the time and/or space variations in  $\delta^{15}\text{N}$ ,  $\delta^{18}\text{O}$ , and  $\Delta^{17}\text{O}$  values is a result of the mixing between two or more reservoirs of the same compound. For example, variations of  $\delta^{15}\text{N}$  in  $\text{NO}_3^-_{\text{atm}}$  has been explained by mixing of  $\text{NO}_x$  sources with different  $\delta^{15}\text{N}$  values, such as coal and vehicles (Elliott et al., 2007). Some isotope mass balance mixing models have incorporated Bayesian statistics (Parnell et al., 2013) using prior knowledge about source  $\delta^{15}\text{N}$  values to use  $\delta^{15}\text{NO}_3^-_{\text{atm}}$  to help constrain  $\text{NO}_x$  sources (Tian et al., 2021; Wang et al., 2017; Xiao et al., 2020). These models typically ignore any isotope effect occurring during the photochemical oxidation of  $\text{NO}_x$ , although some have incorporated a single oxidation enrichment factor to account for the isotope fractionation (Song et al., 2021). Such models may or may not be accurate depending on the sample collection conditions. For short timescales sampling, such as hourly, the  $\delta^{15}\text{N}$  (and  $\delta^{18}\text{O}$ ,  $\Delta^{17}\text{O}$ ) is likely to be significantly influence by the immediate conditions (Albertin et al., 2021; Walters et al., 2018) such as pollutant load and sunlight intensity (or lack thereof). Extended collection periods (days to weeks) would modulate the temporal effects and would better represent a  $\delta^{15}\text{NO}_3^-_{\text{atm}}$  that is a function of  $\text{NO}_x$  source mixing plus single isotope fractionation factor for that time period. In cases where the majority of  $\text{NO}_x$  (>90%) is oxidized into  $\text{NO}_3^-_{\text{atm}}$  quickly, such as plumes or urban areas under a severe inversion layer and minimal advection, an isotope mass balance of all  $\text{NO}_x$  sources is likely to be close to the  $\delta^{15}\text{NO}_3^-_{\text{atm}}$ .

The role of the temporal and spatial variation of  $\delta^{15}\text{NO}_x$  emissions and the effect of mixing during boundary layer transport was recently modeled using the Community Multi-scale Air Quality (CMAQ) model (Fang and Michalski, 2022). That model ( $\text{i}_\text{N}\text{CMAQ}$ ) used the US Environmental Protection Agency’s (US-EPA) 2002 National Emission Inventory (NEI) to estimate hourly  $\text{NO}_x$  emissions at 12 km grid resolution for the Midwestern US (Fig. 12). Based on the fraction of  $\text{NO}_x$  from each source in a given grid and the  $\delta^{15}\text{NO}_x$  values for different sources (Section “Isotopes of N in precursor gases  $\text{NO}_x$  and  $\text{NH}_3$ ”), the time and space dependent  $\delta^{15}\text{NO}_x$  emissions were simulated hourly for 1 year (2002). Theses emissions were then mixed by boundary layer transport via meteorology derived from the Weather Research and Forecasting (WRF) model. The results show that transport plays





**Fig. 12** Upper panel (a) is the  $\delta^{15}\text{NO}_x$  of emissions for the Midwestern USA at  $12\text{ km}^2$  grid resolution based on emission data from the US-EPA NEI (2002). The lower panel (b) is the  $\delta^{15}\text{NO}_x$  when boundary layer mixing is added to CMAQ using WRF derived meteorology. Mixing homogenizes the gridded emissions (a) and  $\delta^{15}\text{NO}_x$  plumes from high emitting EGUs are clearly visible (white box). From Fang H and Michalski G (2022) Assessing the roles emission sources and atmospheric processes play in simulating  $\delta^{15}\text{N}$  of atmospheric  $\text{NO}_x$  and  $\text{NO}_3^-$  using CMAQ (version 5.2.1) and SMOKE (version 4.6). *Geoscientific Model Development* 15(10): 4239–4258. <https://doi.org/10.5194/gmd-15-4239-2022>.

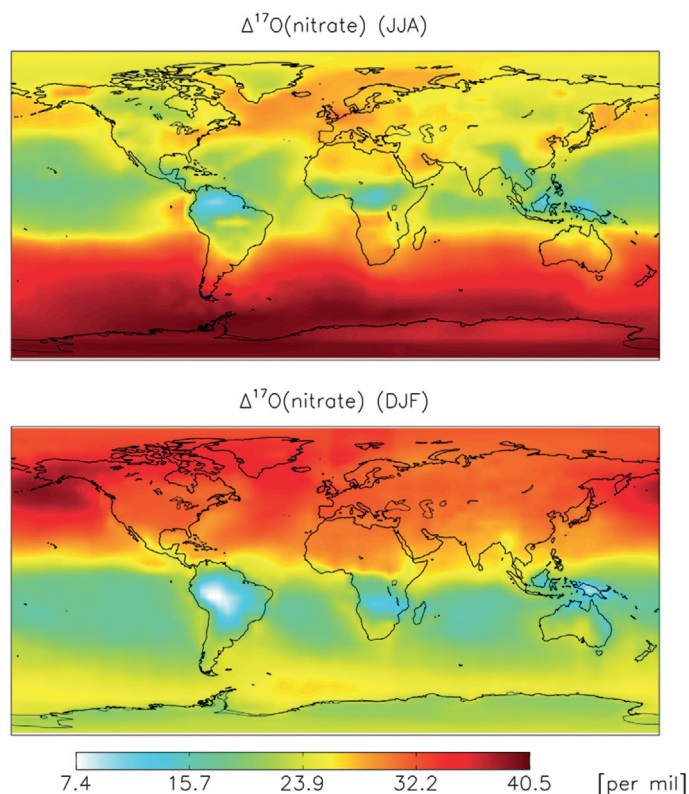
an important role for the  $\delta^{15}\text{NO}_x$  at any place and time. Specifically, it shows how large point sources, such as EGU's without  $\text{NO}_x$  reduction technology, can influence the regional  $\delta^{15}\text{NO}_x$  and that this influence is not evenly distributed, rather  $\delta^{15}\text{N}$  plumes follow prevailing winds and the storm transport dynamics typical of regional air flow (Fig. 12). Many observational studies have used the HYSPLIT model (Stein et al., 2015) to calculate back trajectories in order try in detect this transport effect (Buda and DeWalle, 2009; Elliott et al., 2019; Michalski et al., 2022). The goals of such models are twofold. First, it can be used to assess whether the model is correctly capturing the observed  $\delta^{15}\text{N}$  in the atmosphere. If not, then the second application would be to assume that the difference between the observation and the model is due to incorrect  $\text{NO}_x$  emissions estimates by the NEI, which means this  $\delta^{15}\text{NO}_x$  model could be used as a new tool for  $\text{NO}_x$  source verification. Of course, this ignores the photochemical isotope effects during  $\text{NO}_x$  to  $\text{NO}_y$  conversion, which are important, and are being incorporated in future versions of  $i\text{N}$ CMAQ (Fang and Michalski, 2022).

Isotope mass balance mixing models have also been used to simulate  $\Delta^{17}\text{O}$  by assessing the fraction of oxidation pathways during the oxidation of  $\text{NO}_x$  to  $\text{NO}_y$  and assumptions about the  $\Delta^{17}\text{O}$  value of those pathways (Section "Oxygen isotopes fractionations during the production of  $\text{NO}_y$  and tropospheric observations"). These oxidation pathway fractions have been calculated using either simple photochemical box models, using known chemical mechanisms, or using chemical mechanisms imbedded in 3-D chemical transport models. Michalski et al. used the photochemical box model of Yvon et al. (Yvon et al., 1996) to calculate the A factor (Eq. 18) and the  $f_{R5}$ ,  $f_{R7}$ , and  $f_{R9}$  (Eq. 34) and assumed an  $\text{O}_3$  bulk  $\Delta^{17}\text{O}$  of 35‰ based P and T experiments but assumed all O atoms transfer, which is equivalent to a bulk  $\text{O}_3$  of  $\Delta^{17}\text{O}$  23‰ (similar to observations by Johnston and Theimens) and a terminal atom transfer. This model matched observed  $\Delta^{17}\text{O}$  in  $\text{pNO}_3^-$  fairly well, including capturing the seasonal



$\Delta^{17}\text{O}$  trend. Subsequent models have incorporated additional pathways (Eqs. 34–37) and used different mechanisms (Michalski et al., 2011; Morin et al., 2011) and have reproduced observed  $\text{NO}_3^-$   $\Delta^{17}\text{O}$  values and trends fairly accurately. The  $\Delta^{17}\text{O}$  mass balance approach was incorporated into the 3-D chemical transport model GEOS-Chem (Alexander et al., 2009a; Alexander et al., 2020) that predicted  $\text{NO}_3^-$   $\Delta^{17}\text{O}$  values globally as a function of season (Fig. 13). The model accurately predicts the sunlight effect, where long hours of darkness enhances  $\text{HNO}_3$  production via the  $\text{N}_2\text{O}_5$  and  $\text{NO}_3$  pathways (Dentener and Crutzen, 1993) and increase the A factor due to fewer radicals being produced under low sunlight conditions (Alexander et al., 2009a; Alexander et al., 2020). This results in maximum  $\text{NO}_3^-$   $\Delta^{17}\text{O}$  values during the winter months in both the northern hemisphere (DJF) and southern hemisphere (JJA). Conversely, extended sunlight hours during the summer months increase  $\text{HO}_2/\text{RO}_2$  production, lowering the A factor and greater OH production enhancing the R5 pathway of  $\text{HNO}_3$  production resulting in  $\Delta^{17}\text{O}$  minimums in the tropics. This simulated seasonal  $\Delta^{17}\text{O}$  oscillation captures the observed trends very well (Fig. 9) but a comparison of  $\Delta^{17}\text{O}$  values from 14 observational data sets with those predicted by GEOS-Chem predicted values yields a correlation coefficient of only  $R^2 = 0.51$ . The model also predicts within hemisphere  $\text{NO}_3^-$   $\Delta^{17}\text{O}$  spatial variations, where low values tend to be located over tropical rain forests (Fig. 13). This is attributed to enhanced  $\text{RO}_2$  oxidation of NO (R4), which lowers the A factor, over rainforests due to high emission rates biogenic VOC's such as isoprene.

Isotope mass balance models to predict the  $\delta^{18}\text{O}$  in  $\text{NO}_3^-$  have received less attention due to additional complexity of source  $^{18}\text{O}$  and the lack of knowledge of mass-dependent isotope effects occurring during oxidation of  $\text{NO}_x$  into  $\text{NO}_y$ . The  $\delta^{18}\text{O}$  value of oxidant sources introduces a number of uncertainties that must be accounted for in any modeling attempt. Tropospheric  $\text{O}_3$   $\delta^{18}\text{O}$  values should vary between 80‰ and 108‰ for temperatures and pressures of the troposphere (Michalski et al., 2011), which is close to those observed in tropospheric  $\text{O}_3$  (Fig. 4). Similarly tropospheric water  $\delta^{18}\text{O}$  varies significantly in space and time depending on the water vapor sources region, ambient temperatures, and distillation effects occurring during precipitation that are a function of transport distance and elevation (Gat, 1996). For example, the average  $\delta^{18}\text{O}$  of precipitation over the continental US varies by  $\sim 20$ ‰ based on tap water analysis (Bowen and Revenaugh, 2003) and these absolute values change with season. In addition, there is a temperature dependent isotope partitioning between the gas and liquid phase, with liquid  $\text{H}_2\text{O}$  having higher  $\delta^{18}\text{O}$  values relative to the condensed phase (clouds, fog, rain). This means that the water used in R5 via the OH- $\text{H}_2\text{O}$  EIE (vapor) and in R8 (liquid) would have different  $\delta^{18}\text{O}$  values for the same air mass. Based on these assumptions an isotope mass balance



**Fig. 13**  $\Delta^{17}\text{O}$  enabled GEOS-Chem model of  $\text{NO}_3^-$  for summer (JJA) and winter (DJF). The hemispheric differences between seasons highlights the effect of sunlight hours while within hemisphere variations is driven by regional emissions, in particular VOC's emitted by tropical rain forests. From Alexander B, Hastings MG, Allman DJ, Dachs J, Thornton JA, and Kunasek SA (2009a) Quantifying atmospheric nitrate formation pathways based on a global model of the oxygen isotopic composition ( $\Delta^{17}\text{O}$ ) of atmospheric nitrate. *Atmospheric Chemistry and Physics* 9(14): 5043–5056. <https://doi.org/10.5194/acp-9-5043-2009>; Alexander B, Park RJ, Jacob DJ, and Gong SL (2009b) Transition metal-catalyzed oxidation of atmospheric sulfur: Global implications for the sulfur budget. *Journal of Geophysical Research-Atmospheres* 114: <https://doi.org/10.1029/2008jd010486>.

model predicted tropospheric  $\text{NO}_{3\text{atm}}$  over the continental US should range between 26 and 50‰ (Michalski et al., 2011) significantly lower than observations (Fig. 9). The lower values predicted in the model were largely a function of the assumed  $\delta^{18}\text{O}$  of OH (−50 to −80‰) that were a function of both water vapor  $\delta^{18}\text{O}$  and the EIE  $\epsilon_{\text{OH}/\text{H}_2\text{O}}$  of −40‰. The model also ignored the isotope fractionation via EIE, KIE, VPIE, and PHIFE which was a serious limitation.

### Explicit mechanistic models for simulating $\delta^{15}\text{N}$ , $\delta^{18}\text{O}$ , and $\Delta^{17}\text{O}$

Explicit mechanistic models use the isotope fractionation factors occurring during EIE, KIE, VPIE, and PHIFE in chemical kinetic and 3-D chemical transport models to determine  $\delta^{15}\text{N}$ ,  $\delta^{18}\text{O}$ , and  $\Delta^{17}\text{O}$  values as a function of time and/or space. Some of these models have been used to explain experimental data, which is in turn used for interpreting in situ observations. For example,  $\delta^{18}\text{O}$  and  $\delta^{17}\text{O}$  data from  $\text{O}_3$  synthesis experiments were used to derive  $\text{O}_3$  isotopologue and isotopomer rate constants (i.e.,  $\alpha$  values) (Janssen et al., 1999, 2003; Janssen et al., 2001) that were then used to predict tropospheric  $\text{O}_3$   $\delta^{18}\text{O}$  and  $\Delta^{17}\text{O}$  values. These same rate constants were used to interpret  $\delta^{18}\text{O}$  and  $\Delta^{17}\text{O}$  in  $\text{NO}_2$  produced in a  $\text{NO}_x$ - $\text{O}_3$  photochemical equilibrium experiment (Michalski et al., 2014) that helped to constrain recent  $\text{NO}_2$  isotopologue observations (Albertin et al., 2021; Walters et al., 2018). Lyons used 70-reactions in a 1-D transport model assuming the all the isotope effects were through 11 isotopes exchange reactions among short lived radical species (Lyons, 2001) and predicted  $\text{NO}_{3\text{atm}}$   $\Delta^{17}\text{O}$  close to the measurements of Michalski et al. (2003). These types of studies are closed system, equilibrium simulations where the system reaches an isotopic steady state. A time dependent model of  $\text{NO}_y$  photochemistry, called  $i\text{N}^2\text{RACM}$  (Fang et al., 2021) incorporated 16 different  $^{15}\text{N}$  isotopologues and 96 isotope fractionation factors (EIE, KIE and PHIFE) into the Regional Atmospheric Chemistry Mechanism RACM (Fang et al., 2021; Stockwell et al., 1997). This model showed the importance of day and night chemistry where LCIE is important during the day and  $\text{NO}_y$  EIE are more important at night (Fig. 7), suggesting a day-to-night difference in the  $\delta^{15}\text{N}$  values of  $\text{NO}_y$  compounds (Albertin et al., 2021; Walters et al., 2018). The model reproduced an observational dataset from Tucson (USA) including the seasonal trend with fair accuracy with the deviations attributed to possible  $\text{NO}_x$  source changes with the seasons (Fang et al., 2021). The model also showed the importance of  $\text{NO}_x$  oxidation progress (i.e., the fraction of  $\text{NO}_x$  remaining) has on the resulting  $\text{NO}_{3\text{atm}}$   $\delta^{15}\text{N}$  values highlighting that simple isotope mass balance models are insufficient for understanding  $\text{NO}_{3\text{atm}}$   $\delta^{15}\text{N}$  dynamics.

### Future explorations of $\text{NO}_y$ isotopologue systematics

While there have been great strides in understanding stable isotope variations in tropospheric  $\text{NO}_y$  compounds and their relevance to identifying  $\text{NO}_x$  sources and tracing oxidation chemistry over the past two decades it is safe to say there is still much to learn. Future investigations can be broadly classified in three areas of research: in situ measurements, laboratory experimentation, and modeling, from the molecular scale to global 3D chemical transport modeling.

#### Future in situ $\text{NO}_y$ isotopologue measurements

There is much to be gained from continuing to conduct in situ measurements of isotopologues of  $\text{NO}_y$ , especially examining their spatial and temporal variability, the covariation of different  $\text{NO}_y$  compounds and other variables in the same system, and  $\text{NO}_x$  emission sources. The spatial variability of  $\text{NO}_y$  around the planet remains largely unexplored. Most existing measurements have been relegated to urban and suburban environments in the US, Europe, and East Asia. Only a handful of studies have been conducted in the open ocean and even fewer in unique biomes such as deciduous or evergreen forests, semi-arid and arid ecosystems, tropical rainforests, or tundra ecosystems. There are also relatively few studies in the southern hemisphere other than Antarctica. In particular the near absence of data from Africa and South America is a glaring shortcoming. There are unique opportunities to collect  $\text{NO}_y$  in developing countries that currently have severe air pollution issues, such as India, Pakistan, and southern Asia, as a baseline to compare to  $\text{NO}_y$  in the future and see how air quality remediation affects  $\text{NO}_y$  isotopologues over time. Perhaps even more importantly for understanding  $\text{NO}_y$  isotopologue variations is sampling at high temporal resolution since  $\text{NO}_y$  chemistry evolves on hourly timescales. Of particular interest would be detailed investigations of the differences between daytime and night-time  $\text{NO}_y$  isotope compositions, especially post rainfall sampling that could provide insight into how isotopologue compositions evolve going from clean to polluted conditions. Likewise, additional sampling periods that extend to a single year or beyond at different locations would be informative. There would also be great utility in combining spatial and temporal investigations by taking advantage of existing sampling infrastructure such as the NADP network in the USA. A year-long dataset of  $\delta^{15}\text{N}$ ,  $\delta^{18}\text{O}$ , and  $\Delta^{17}\text{O}$  in  $\text{NO}_{3\text{atm}}$  from each site in the NADP network would be invaluable for testing 3-D isotope enabled chemical transport models predictions and their mechanistic assumptions. Ideally, spatial and temporal sampling should be guided by existing hypothesis generated by current modeling results. For example, the low  $\Delta^{17}\text{O}$  values of  $\text{NO}_{3\text{atm}}$  over tropical regions predicted by GEOS-Chem have yet to be observed. Likewise, the low seasonal amplitude of  $\text{NO}_{3\text{atm}}$   $\Delta^{17}\text{O}$  values predicted to occur in equatorial regions has yet to be investigated. Such in situ measurement investigations should strive to measure isotopes in as many  $\text{NO}_y$  compounds as feasible. For example, there has yet to be a study that has simultaneously measured  $\text{NO}_x$ ,  $\text{pNO}_3^-$ ,  $\text{HNO}_3$ , and rain  $\text{NO}_3^-$  for  $\delta^{15}\text{N}$ ,  $\delta^{18}\text{O}$ ,  $\Delta^{17}\text{O}$ , and  $\text{O}_3$   $\Delta^{17}\text{O}$  and assessed how these values are related to one another. The measurement of heretofore unmeasured  $\text{NO}_y$  compounds such as PAN and organic nitrates will surely help in our understanding of how isotopes partition during  $\text{NO}_y$  chemistry. In addition to the collection and isotopic analysis of  $\text{NO}_y$  from various systems, simultaneous

measurements other variables such as temperature, relative humidity, wind direction and speed, and trace gases such as ozone, carbon monoxide, and VOCs would be of great utility for linking the photochemistry to the  $\text{NO}_y$  isotopologue variations. To this end researchers investigating  $\text{NO}_y$  isotopologues should become engaged with large sampling campaigns conducted by US agencies such as the DOE, EPA, NASA, and NOAA or their counterparts in Europe, Asia and Oceania. There is also much to be learned from the direct collection of  $\text{NO}_x$  from various sources including those that have yet to be measured such as ships, trains, aircraft, and industrial sources such as refineries, and ethanol, fertilizer and nitric acid plants. Measurements of oxygen isotopes in all  $\text{NO}_x$  sources may also prove useful for understanding diurnal variation in  $\text{NO}_{3\text{atm}}^-$   $\delta^{18}\text{O}$  and  $\Delta^{17}\text{O}$  values.

### Experimental investigations of $\text{NO}_y$ isotopologue systematics

In order to fully understand the observed variation of isotopologues in  $\text{NO}_y$  there must be a concerted effort to conduct experimental investigation using photochemical chambers. For example, most of the assumptions in the  $\Delta^{17}\text{O}$  mass balance model for  $\text{NO}_{3\text{atm}}^-$  have yet to be tested experimentally, such as does only one oxygen atom from water become incorporated into  $\text{HNO}_3$  during the heterogeneous hydrolysis reaction or does the OH radical fully equilibrate with water vapor in the troposphere. Given the low mixing ratios of  $\text{NO}_x$  or other  $\text{NO}_y$  precursors in the troposphere, experiments investigating the isotopologue systematics would best be conducted in large chambers where reactant concentrations could be kept close to those in the troposphere and where wall effects are kept to a minimum. To date experimental investigations typically have focused on one isotopologue, either  $^{15}\text{N}$  or  $^{17}\text{O}$ , and future experiments that measure multiple compounds and multiple isotopes from the same system would be very valuable for understanding what is happening in the troposphere.

### Computational analysis of $\text{NO}_y$ isotopologue

Finally, there is much to accomplish computationally, from the molecular to the global scale for understanding the variation of isotopologues in  $\text{NO}_y$ . At the molecular scale using quantum chemistry techniques for determining isotope fractionation factors has much potential. This could be for testing whether quantum mechanical predictions match the experimental values or predicting isotope fractionation factors for reactions that are too difficult to measure experimentally. These isotope fractionation factors are required for in order for explicit isotope kinetics models to be developed and be accurate. Explicit isotope mechanistic box modeling can be quite insightful and help guide in situ measurements decisions. Exploring current  $^{15}\text{N}$  model simulations and developing new explicit  $^{18}\text{O}/^{17}\text{O}$  models will be useful for interpreting both in situ and experimental results. There is clearly a need to develop regional isotope enabled high resolution 3D chemical transport models. This is because of the high reactivity of  $\text{NO}_y$ , and the isotope effects associated with this reactivity will be highly sensitive the grid scale of any chemical transport model. For example, the isotopologue chemistry occurring in a plume, either from electrical generation units or biomass burning events, would not be well represented in a chemical transport model using  $1^\circ \times 1^\circ$  degree or  $100 \text{ km}^2$  grid resolution. The goal of any of these isotope-enabled models should not be to assume that the existing chemistry or emissions are correct and to “tune” the isotopes in model in order for the model output to match isotope observations, rather the isotopes should be used as a constraint on whether the existing chemistry or emissions are indeed correct. In other words, mismatches between isotope enabled model predictions and the variation observed in the troposphere are indicators of gaps in our knowledge about the photochemistry that generates  $\text{NO}_y$  and could suggest how to fill those very gaps.

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